COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE BUREAU OF PROFESSIONAL AND OCCUPATIONAL AFFAIRS FINAL MINUTES MEETING OF: STATE REGISTRATION BOARD FOR PROFESSIONAL ENGINEERS, LAND SURVEYORS AND GEOLOGISTS TIME: 9:32 A.M. PENNSYLVANIA DEPARTMENT OF STATE Board Room C One Penn Center 2601 North Third Street Harrisburg, Pennsylvania 17110 November 13, 2019

2 State Registration Board for Professional 1 2 Engineers, Land Surveyors and Geologists 3 November 13, 2019 4 5 6 BOARD MEMBERS: 7 8 Francis J. Stanton Jr., PE, President - Absent 9 K. Kalonji Johnson, Acting Commissioner, Bureau of 10 Professional and Occupational Affairs 11 James J. Szalankiewicz, PE, PLS, Vice President 12 Michael F. Brinkash, PLS 13 Ronald N. Jumper Jr., Public Member 14 Robert P. Kudlawiec, PE 15 Joseph T. McNally, PG Carol D. Sides, Public Member 16 17 Theodore Tesler, PG 18 19 20 BUREAU PERSONNEL: 21 22 C. William Fritz II, Esquire, Board Counsel 23 Ray Michalowski, Esquire, Senior Prosecutor 24 Caroline A. Bailey, Esquire, Board Prosecutor 25 Alice Glasser, Esquire, Board Prosecutor 26 Jeannie Bronshtein, Board Administrator 27 28 29 Kimberly A. Mattis, Director, Bureau of Finance and 30 Operations, Department of State 31 Julie Snader, Deputy Director, Bureau of Finance and 32 Operations, Department of State 33 34 Marc Farrell, Deputy Policy Director, Department of 35 State 36 Cheryl Sinclair, PG, Chair, Coordinating Committee on 37 Professional Practices, Pennsylvania Department of 38 Environmental Protection 39 40 41 ALSO PRESENT: 42 43 John G. Fuehrer II, Pennsylvania Society of Land 44 Surveyors, Chair, Education Committee 45 Jordan Fuhrman, Intern, Wanner Associates, 46 Pennsylvania Society of Land Surveyors/Pennsylvania 47 Society of Professional Engineers 48 Sara Simon, Investigative Data Reporter, Spotlight PA 49 50

3 * * * 1 State Registration Board for Professional 2 3 Engineers, Land Surveyors, and Geologists November 13, 2019 4 * * * 5 6 The regularly scheduled meeting of the State 7 Registration Board for Professional Engineers, Land 8 Surveyors, and Geologists was held on Wednesday, 9 November 13, 2019. James Szalankiewicz, PE, PLS, Vice 10 President, called the meeting to order at 9:32 a.m. 11 K. Kalonji Johnson, Acting Commissioner, Bureau of Professional and Occupational Affairs, was not 12 13 present at the commencement of the meeting. * * * 14 15 Pledge of Allegiance 16 [The Pledge of Allegiance was recited.] * * * 17 Introduction of Board Members 18 19 [Vice President Szalankiewicz requested the 20 introduction of Board members.] * * * 21 22 Approval of minutes of the September 11, 2019 meeting 23 VICE PRESIDENT SZALANKIEWICZ: 24 I assume everybody has had a chance to 25 read the minutes. Are there any

4 corrections? Is there a motion to 1 2 approve? 3 MR. KUDLAWIEC: 4 So moved. 5 MR. JUMPER: 6 Second. 7 VICE PRESIDENT SZALANKIEWICZ: 8 All in favor? 9 [The motion carried unanimously.] * * * 10 11 Acknowledge Public 12 [Vice President Szalankiewicz asked members of the 13 public to identify themselves.] * * * 14 15 Report of Board President - No Report * * * 16 17 Report of Board Members 18 [Theodore Tesler, PG, commented that he and Joe 19 McNally attended the National Association of State 20 Boards of Geology (ASBOG). He noted that David Cox 21 with the National Council of Examiners for Engineering 22 and Surveying (NCEES) was also at the meeting. Не 23 mentioned discussion regarding computer-based testing 24 still being about three years away. 25 Mr. Tesler and Mr. McNally also attended the

Council of Examiners and reviewed item bank questions 1 2 and comments concerning the examination. He announced 3 that Doug Rambo will be the incoming secretary of 4 ASBOG.] * * * 5 6 Report of Board Administrator 7 [Jeannie Bronshtein, Board Administrator, noted the audit and renewals were completed successfully. 8 She mentioned the first two applications for the Board 9 10 under Act 41 were being prepared.] * * * 11 12 Report of Board Prosecution Division 13 [Ray Michalowski, Esquire, Senior Prosecutor, 14 announced the retirement of Robert Armour, Esquire, 15 and noted Glenn Masser, Esquire, as the Board's new 16 prosecution liaison. He stated Mr. Masser and Ms. 17 Bailey will be handling all of the Board's cases.] * * * 18 [Caroline A. Bailey, Esquire, Board Prosecutor, 19 20 presented the Consent Agreements for Case No. 17-47-12836, Case No. 18-47-001800, and Case No. 17-47-21 22 13723.] 23 * * * 24 [K. Kalonji Johnson, Acting Commissioner, Bureau of 25 Professional and Occupational Affairs, entered the

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meeting at 9:40 a.m.] 1 2 * * * 3 Report of Acting Commissioner 4 [K. Kalonji Johnson, Acting Commissioner, Bureau of 5 Professional and Occupational Affairs, addressed 6 concerns brought up at the last meeting regarding 7 updating testing standards. He stated Board Counsel and the Board Administrator were working with the 8 9 Bureau's testing service to ensure those standards are 10 updated to the Board's satisfaction. Vice President 11 Szalankiewicz thanked Acting Commissioner Johnson, Mr. 12 Brinkash, Ms. Bronshtein, and counsel for their work on such an insurmountable task. 13 Vice President Szalankiewicz also thanked the 14 15 Pennsylvania Society of Land Surveyors (PSLS) for 16 their contribution and their past work to ensure a 17 proper examination.] * * * 18 19 Report of Board Counsel 20 [C. William Fritz II, Esquire, Board Counsel, noted 21 the appeal of a provisional denial hearing at 11:00 22 a.m. He mentioned the need for discussion later in 23 the meeting regarding the proposed regulations related 24 to Act 41.1 25 * * *

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Appointment - Bureau of Finance and Operations Budget 1 2 Presentation 3 [Kimberly A. Mattis, Director, Bureau of Finance and 4 Operations, introduced herself. Julie Snader, Deputy 5 Director, Bureau of Finance and Operations, was also 6 present. 7 Ms. Mattis provided information regarding the Board's licensee population. Ms. Mattis stated 8 9 currently there are 31,146 licensees with an increase 10 of 188 licensees this morning, totaling 31,334. 11 Mr. McNally questioned the reason for the decrease in this fiscal year. Ms. Mattis explained 12 13 that licensee population was down because the Board 14 just went through a renewal and was not concerned with 15 the licensee population at 31,334. 16 Ms. Mattis referred to the last biennial renewal 17 period, noting FY17-18 and FY18-19 with a biennial 18 total of just over \$2 million. She noted the biggest 19 bulk of revenue was from renewals and applications at 20 90 percent of the revenue. 21 Ms. Mattis reviewed expenses divided into 22 administrative and legal costs. She mentioned 23 expenses can be incurred from timesheet-based charges, 24 direct charges, and license-based charges. She noted 25 the expenses for FY17-18 and FY18-19, along with the

budget for FY19-20. She also noted the expenses as of
 the end of October 2019.
 Ms. Mattis reviewed revenue and expenses. She
 stated the Board started out with a deficit in FY17-18
 and a deficit this fiscal year. She stated the Board

5 and a deficit this fiscal year. She stated the Board 6 is currently over in revenue, noting a huge jump with 7 the new fee increase that went into effect. She 8 anticipated that amount would put the Board back in 9 the black.

Ms. Mattis discussed Board member expenses. She reviewed the expenses for FY17-18, FY18-19, the budget for FY19-20, and the expenses as of the end of October 2019.

Ms. Mattis commented that the current amount seemed sufficient and would remain through the FY20-21 budget request. She informed the Board that anything not used will go back into their restricted account. Ms. Mattis noted the bar graph of expenses over the past few years for the Board's review.

20 Mr. Brinkash questioned the reason for the drop 21 in prosecution budgeted in FY19-20, where only one-22 third of that amount was spent. Ms. Mattis explained 23 that there is a trending down in those numbers in 24 terms of investigations impacting the finances, 25 including a drop in complaints.

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Vice President Szalankiewicz thanked Ms. Mattis for her presentation and questioned whether fines will present a net in the prosecution. Ms. Mattis stated those are actual direct costs to the Board based on the time charged to the Board or anything that is a direct cost.

7 Vice President Szalankiewicz commented that the
8 increase in prosecution fees and fines was justified.
9 He suggested handouts for the public regarding the
10 budget.

Ms. Mattis noted working with the commissioner's office on technology for the public.

Mr. Michalowski stated most of the backlog had been cleared with the addition of a third prosecutor. He also mentioned instituting the charge unit, where cases are triaged and closed early on. He mentioned having specific teams, and he runs the triage unit for his team that includes specialists who are reviewing those cases.

20 Mr. Michalowski also mentioned instituting a 21 second charge unit to review cases before going out 22 for investigation to avoid cases that are clearly true 23 and not a violation. He noted that cases were 24 previously reviewed by paralegals and administrative 25 staff, but having an attorney review the cases allows

1 for roughly 10-15 percent of those to be closed, which 2 avoids investigator costs.

3 Mr. Michalowski commented that a lot of the 4 Board's cases that had been investigated in the past 5 were moved to being investigated on paper. He stated 6 most of the individuals on the Boards under his 7 supervision are cooperative and respond to an affidavit, which shortens the investigation. He noted 8 9 the Bureau of Enforcement and Investigation (BEI) will 10 be sent out if the individuals do not respond. 11 Mr. Michalowski discussed the Justice Network

12 (JNET) system, where prosecution is immediately 13 alerted as to arrests and convictions within the 14 Pennsylvania judicial system.

Mr. Michalowski addressed reciprocal cases, where almost every case that goes out that was a reciprocal or criminal matter either gets a warning or a letter of concern.

Mr. Michalowski discussed capturing costs that are allowed to be charged, including experts and BEI and legal costs. He stated, once charges are filed, anything spent after that is not empowered by the act. He mentioned that attorney and paralegal costs are not captured, and the law does not allow for procedures that occur after that, like a hearing.

Mr. Brinkash addressed individuals who break the 1 2 law and the importance of taking them off the street 3 and out of the view of the public as opposed to 4 monitoring. He discussed taking the individual's 5 licensing but not putting that burden on the Board or 6 the tax payers. He mentioned working out the legal 7 costs by fining the individual \$10,000 or \$15,000 that could be negotiated down to the actual legal costs. 8

9 Mr. Michalowski further explained cost-saving 10 legal measures by moving a lot of the investigations 11 to paper investigation, which is a reason for there 12 not being costs associated with many of the 13 revocations. Many of the revocations are criminal 14 and/or reciprocal, and an investigator is not sent 15 out.

16 Mr. Michalowski noted that it helps to have an 17 attorney reviewing the cases with the paralegal to 18 free the investigators for other cases and decrease 19 costs. He mentioned that all of the attorneys who 20 work under his supervision work under all of the 21 Boards that are also under his supervision. He stated 22 working with the Boards has given them guidance 23 because of decisions, whether it is to accept or 24 reject the consent agreement and decisions in cases 25 the Board has full adjudication to guide them going

1 forward.

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2	Mr. Michalowski addressed the process of			
3	proceeding with investigation or prosecution. He			
4	stated it would typically be himself and a group of			
5	two or three other attorneys to decide whether a case			
6	after investigation can be closed based on the			
7	information received. He also stated cases that			
8	cannot be closed are passed on to a prosecutor to			
9	determine the best course of action.			
10	Vice President Szalankiewicz noted Mr. Zogby's			
11	recommendation to have a discussion with applicants			
12	wanting to take the exam who may have had a couple of			
13	gray areas that would have resulted in an appeal,			
14	which reduced those hearings drastically.			
15	Mr. Michalowski stated there has been a decrease			
16	in application cases, because they are now deferred to			
17	the Board first to get areas of concern.]			
18	* * *			
19	[K. Kalonji Johnson, Acting Commissioner, Bureau of			
20	Professional and Occupational, exited the meeting at			
21	10:25 a.m.]			
22	* * *			
23	[The Board recessed from 10:25 a.m. until 10:33 a.m.]			
24	* * *			
25	[A formal hearing was held from 10:33 a.m. until			

1 10:53 a.m. in the matter of the Appeal of the 2 Provisional Denial of the Application for Licensure as 3 a Professional Engineer by Reciprocity of Mehdi 4 Mehrabi, Case No. 19-47-014569.] * * * 5 6 [Pursuant to Section 708(a)(5) of the Sunshine Act, at 7 10:54 a.m. the Board entered into Executive Session with C. William Fritz II, Esquire, Board Counsel, for 8 9 the purpose of conducting quasi-judicial deliberations 10 on matters that are currently pending before the Board 11 as well as receiving advice of Counsel. The Board 12 returned to open session at 11:39 a.m.] * * * 13 14 MOTIONS 15 MR. FRITZ: 16 The Board met in executive session and 17 conducted quasi-judicial deliberations 18 on the following matters: 19 The Board would entertain a motion 20 to approve the Consent Agreement at Case 21 No. 17-47-12836. 2.2 MR. KUDLAWIEC: 23 So moved. 24 MR. TESLER: 25 Second.

14 1 VICE PRESIDENT SZALANKIEWICZ: 2 All in favor? 3 [The motion carried unanimously. The name of the 4 Respondent is Matthew David Oakes, P.E.] * * * 5 6 MR. FRITZ: 7 The Board would entertain a motion to 8 approve the following Consent Agreement 9 at Case No. 18-47-001800. 10 MR. KUDLAWIEC: 11 So moved. 12 MR. TESLER: 13 Second. VICE PRESIDENT SZALANKIEWICZ: 14 15 All in favor? 16 [The motion carried. Mr. Brinkash opposed the motion. 17 The name of the Respondent is Chetan V. Shah, P.E.] * * * 18 19 MR. FRTT7: 20 The Board would entertain a motion to 21 approve the Consent Agreement at Case 22 No. 17-47-13723. 23 MR. KUDLAWIEC: 24 So moved. 25 MR. TESLER:

15 Second. 1 2 VICE PRESIDENT SZALANKIEWICZ: 3 All in favor? All opposed? 4 [The motion carried unanimously. The name of the 5 Respondent is Afsar Hasan, P.E.] * * * 6 7 MR. FRITZ: The Board would entertain a motion 8 9 directing Board counsel to draft a final 10 order in the matter of Case No. 19-47-11 014569 in the matter of Mehdi Mehrabi. 12 MR. KUDLAWIEC: So moved. 13 14 MR. TESLER: 15 Second. VICE PRESIDENT SZALANKIEWICZ: 16 All in favor? Any opposed? 17 18 [The motion carried unanimously.] * * * 19 20 MR. FRITZ: 21 The Board would entertain a motion 22 granting the continuing education 23 exemption waiver request of Peter A. 24 Neubauer, P.E. pending receipt of 25 additional information that the Board

16 will be requesting from the applicant. 1 2 MR. KUDLAWIEC: 3 So moved. 4 MR. TESLER: 5 Second. 6 VICE PRESIDENT SZALANKIEWICZ: 7 All in agreement? Opposed? 8 [The motion carried unanimously.] * * * 9 MR. FRITZ: 10 11 The Board would entertain a motion 12 approving the PE Reciprocity Application 13 of Nandavar Mohan subject to Act 41 14 provisional licensure. 15 MR. KUDLAWIEC: 16 So moved. 17 MR. TESLER: 18 Second. 19 VICE PRESIDENT SZALANKIEWICZ: 20 All in favor? Opposed? 21 [The motion carried unanimously.] 22 * * * 23 Miscellaneous 24 [C. William Fritz II, Esquire, Board Counsel, noted 25 the 2020 meeting dates for the Board's review.]

17 * * * 1 2 VICE PRESIDENT SZALANKIEWICZ: 3 I make a motion to approve the dates. 4 MR. KUDLAWIEC: 5 So moved. 6 MR. TESLER: 7 Second. 8 VICE PRESIDENT SZALANKIEWICZ: 9 All in agreement? Opposed? 10 [The motion carried unanimously.] * * * 11 Report of Board Counsel (Continued) 12 [C. William Fritz II, Esquire, Board Counsel, noted 13 14 the need for discussion regarding Act 41. He stated 15 the Board's requirements would be for an individual to 16 be licensed two of the last five years and to require 17 the applicant to demonstrate 24 hours of CE that would 18 meet the same requirements Pennsylvania has within the 19 24 months preceding the date of their application to 20 show competency. 21 Mr. Tesler preferred individuals provide 22 certificates to ensure competency. 23 Vice President Szalankiewicz noted concern with 24 military individuals who want to practice engineering 25 in Pennsylvania who would have to wait until they get

24 hours of credit, which is a conflict of Act 41.
 Mr. Fritz explained that an applicant whose
 license has expired and comes back to Pennsylvania is
 required to show CE, so it is the same burden put on
 Pennsylvania licensees.

6 Mr. Fritz referred to Section 4(g) regarding 7 prosecuting licensees for violations. He noted 8 requiring that the individual be in good standing with 9 the state they are coming from with no prior 10 discipline from the state unless it is something 11 Pennsylvania would discipline.

Mr. Fritz commented that Act 41 was the law, and the regulations apply the law to each Board. He explained that the regulations must be completed by February 2021. The regulations can be made specific to the Board. He mentioned that individuals can present for an informal conference if the Board is uncertain.

Mr. Fritz referred to Section 4(g), where arrests or convictions could be a violation, but under (c) on the shell, it allows the Board to utilize their discretion.

23 Mr. Fritz noted the addition of the use of seals, 24 where individuals coming here practicing on a 25 provisional license are still held to the same

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standards of current licensees. He noted the 1 2 applicants would be allowed to use their home state 3 seal during the provisional licensure time and then 4 indicate also with that seal that it is a Pennsylvania 5 provisional license number. He also noted if the 6 individuals come to Pennsylvania with a provisional 7 license, the individuals could still use their home 8 state seal but also have it indicate the PA 9 provisional license and expiration date. 10 Mr. Fritz had not added language for fees yet, 11 because the Board had not discussed that yet. Не 12 assumed the fee would be the same as for a reciprocal 13 license. He commented that nothing would change 14 unless the Board voted on it, but he will take it to 15 the next step. Mr. Fritz commented that the electronic seal 16 regulation was with the Office of General Counsel 17 18 (OGC). 19 Vice President Szalankiewicz noted a correction 20 brought to his attention by Mr. Brinkash and wanted to 21 give credit to Juan Ruiz for setting them up and not 22 Mr. Zogby.] 23 * * * 24 Adjournment 25 VICE PRESIDENT SZALANKIEWICZ:

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Motion to adjourn. MR. KUDLAWIEC: So moved. MR. TESLER: Second. VICE PRESIDENT SZALANKIEWICZ: All in favor? Opposed? [The motion carried unanimously.] * * * [There being no further business, the State Board of Professional Engineers, Land Surveyors, and Geologists Meeting adjourned at 12:00 p.m.] * * *

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3	CERTIFICATE
4	
5	I hereby certify that the foregoing summary
6	minutes of the State Registration Board for
7	Professional Engineers, Land Surveyors and Geologists
8	meeting, was reduced to writing by me or under my
9	supervision, and that the minutes accurately summarize
10	the substance of the State Registration Board for
11	Professional Engineers, Land Surveyors and Geologists
12	meeting.
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15	in lega
16	Evan Bingaman,
17	Minute Clerk
18	Sargent's Court Reporting
19	Service, Inc.
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1 2 3		REGISTRATION BOARD FOR PROFESSIONAL EERS, LAND SURVEYORS AND GEOLOGISTS REFERENCE INDEX
4 5 6		November 13, 2019
7 8	TIME	AGENDA
9 10 11	9:32	Official Call to Order
12 13	9:33	Pledge of Allegiance
13 14 15	9:34	Introduction of Board Members
16 17	9:35	Approval of Minutes
18 19	9:35	Acknowledge Public
20 21	9:35	Report of Board Members
22 23	9:37	Report of Board Administrator
24 25	9:37	Report of Board Prosecution Division
26 27	9:43	Report of Acting Commissioner
28 29	9:46	Report of Board Counsel
30 31 32 33	9:47	Appointment - Kimberly A. Mattis; Julie Snader, Bureau of Finance and Operations Budget Presentation
34 35 36	10:25 10:33	Recess Return to Open Session
37 38 39	10:33 10:53	Formal Hearing - Mehdi Mehrabi
40 41 42	10:54 11:39	Executive Session Return to Open Session
43 44	11:39	Motions
45 46	11:42	Miscellaneous
47 48	11:43	Report of Board Counsel (Continued)
49 50	12:00	Adjournment