State Board of Auctioneer Examiners January 11, 2021

BOARD MEMBERS:

 Nevin B. Rentzel, Chairman, Professional Member Sherman E. Hostetter Jr., Professional Member K. Kalonji Johnson, Commissioner, Bureau of Professional and Occupational Affairs Gerald A. Rader, Professional Member

Daniel A. Trace, Secretary, Professional Member Heather M. Troutman, Consumer Protection Agent

BUREAU PERSONNEL:

C. William Fritz II, Esquire, Board Counsel Jason E. McMurry, Esquire, Board Counsel Dean F. Picarella, Esquire, Senior Board Counsel J. Karl Geschwindt, Esquire, Board Prosecution Liaison

Todd P. Kriner, Esquire, Board Prosecution Terrie Kocher, Board Administrator Carolyn A. DeLaurentis, Deputy Chief Counsel, Prosecution Division

Theodore Stauffer, Executive Secretary, Bureau of Professional and Occupational Affairs
Kimberly Adams, Chief of Fiscal Management, Bureau of

Finance and Operations, Department of State

3 * * * 1 State Board of Auctioneer Examiners 2 3 January 11, 2021 * * * 4 5 [Pursuant to Section 708(a)(5) of the Sunshine Act, at 6 9:00 a.m. the Board entered into executive Session with C. William Fritz II, Esquire, Board Counsel, and Jason McMurry, Esquire, Board Counsel, for the purpose of conducting quasi-judicial deliberations and to 10 receive legal advice on matters that are currently 11 pending before the Board. The Board returned to open 12 session at 10:30 a.m.] * * * 13 14 The regularly scheduled meeting of the State 15 Board of Auctioneer Examiners was held on Monday, 16 January 11, 2021. Nevin B. Rentzel, Chairman, 17 Professional Member, called the meeting to order at 18 10:30 a.m. * * * 19 20 Moment of Silence 21 [Chairman Rentzel requested for a moment of silence.] 22 2.3 Roll Call 24 [Chairman Rentzel requested roll call be taken.] * * * 25

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Approval of minutes of the November 9, 2020 meeting
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   CHAIRMAN RENTZEL:
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                  Do I hear a motion to approve the
                  minutes of our last meeting?
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   MR. RADER:
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                  So moved.
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   MR. HOSTETTER:
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                  Second.
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   CHAIRMAN RENTZEL:
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                  Any discussion? If not, all those in
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                  favor, give their consent by saying aye.
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                  Opposed?
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    [The motion carried unanimously.]
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   Appointment - Carolyn A. DeLaurentis, Esquire, Deputy
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     Chief Counsel, Prosecution Division Presentation
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   [Carolyn A. DeLaurentis, Esquire, Deputy Chief
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   Counsel, Prosecution Division, provided highlights of
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   the prosecution division's work during the past year.
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   She commended staff for all of their hard work to make
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   telework successful. She noted all decisions were
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   made thoughtfully and with the safety of all in mind.
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        Ms. DeLaurentis stated the Board prosecutors made
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   every effort to monitor the restrictions, waivers,
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   policies, and new procedures specific to the practice
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in each profession regarding challenges imposed on licensees by the COVID-19 pandemic.

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Ms. DeLaurentis noted the Pennsylvania Licensing System (PALS) to be a lifeline. She stated the Bureau of Enforcement and Investigation (BEI) worked closely with prosecution to continue investigations and figure out the best methods during statewide shutdowns and stay-at-home orders. She also noted the Professional Compliance Office continued opening cases and processing files, and legal assistants have been working hybrid schedule to ensure mailings and filings are completed.

Ms. DeLaurentis commented that the Board, Board staff, and counsel all have adapted to virtual Board meetings and prosecution will continue to work with everyone to ensure efficient and effective presentation and resolution of cases. She mentioned 2020 was a year filled with challenges, but the prosecution division led by the incredible senior staff rose to the challenge and will continue to do so.

Ms. DeLaurentis informed the Board that 84 cases were opened in 2020 and 77 in 2019 with 65 files closed in 2020 for the State Board of Auctioneer Examiners. She noted 124 open cases as of January 1.

She thanked Timothy Smith for his assistance providing information for 2020.

Ms. DeLaurentis addressed enforcement actions with 2 total cases that resulted in Board discipline in 2020. She reported 24 warning letters in 2020, which is consistent with 2019. She noted 1 case resulted in a fine, 1 case resulted in a suspension, and 2 cases resulted in probation for 2020.

Ms. DeLaurentis discussed 2020 overall prosecution as a whole. She noted 44 immediate temporary suspensions. She mentioned 6 of the 1,223 opened COVID-19 cases are for the Board of Auctioneer Examiners. She reported the total number of open cases for the Bureau of Professional and Occupational Affairs (BPOA) is 12,250 and total number of files opened in 2020 is 13,394, which is down from 2019 at 16,295. She noted 13,274 files were closed in 2020.]

Appointment - Bureau of Finance and Operations Annual
Budget Presentation

[Kimberly Adams, Chief of Fiscal Management, Bureau of
Finance and Operations, Department of State, addressed

* * *

23 licensee population from a revenue standpoint. She
24 noted a licensee count decrease of 180 from 2016 to

25 2018 and another drop of 178 licensees from 2018 to

the present. She provided an update from this morning showing an increase of 2 licensees with a current licensee population count of 2,439.

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Ms. Adams noted revenue by source. She reviewed categories and actual revenue for FY 2018-2019 and FY 2019-2020, noting the biennial total. She noted a renewal fee and application increase starting in FY 2022-2023. She noted 98.4% of revenue is coming from revenue and applications.

Ms. Adams provided a categorical breakdown of expenses for FY 2018-2019 and FY 2019-2020 with a current budget for FY 2020-2021 and expenses as of December 29, 2020. She noted current expenses. She stated the budget was adequate.

Ms. Adams reviewed revenues and expenses for FY 2020-2021. She stated the drop in the licensee population affects that number but starts moving in the right direction after the fee increases in FY 2022-2023. She noted the anticipated revenue for FY 2022-2023 and FY 2023-2024, which will put the bottom line back in the black.

Ms. Adams reviewed Board member expenses, noting current expenses and the current budget of \$10,000 for FY 2020-2021, which has been carried forward to FY 2021-2022. She noted expenses as of December 29,

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2 2020, are still the same and well within the budgeted amount. She stated any excess money not used will remain in the restricted account for the Board's use.

Chairman Rentzel commented that the Board is going to be in the red in FY 2021-2022.

Ms. Adams noted meeting with the Board several times last year to discuss the fee increase. She stated the fee increase revenue will work quickly to fix the deficit once it goes into effect.]

Chairman Rentzel stated it was his impression the rate increase for licensure was going occur in the current renewal cycle to avoid going in the red.

Ms. Adams explained that the fee increase was only approved in September of last year. She stated it has to go through a process with Board counsel, and she has been providing Mr. Fritz with information for the preamble.

Ms. Kocher explained that former counsel, Mr. Suter, had already worked on this and provided it to the regulatory review counsel, which is where it is at this point.

Mr. McMurry reported it also to be with regulatory review counsel and will move to the Independent Regulatory Review Commission once finalized.

9 * * * 1 2 Report of Prosecutorial Division 3 [J. Karl Geschwindt, Esquire, Board Prosecution Liaison, stated he had nothing to report.] * * * 5 Report of Board Counsel 6 [Jason McMurry, Esquire, Board Counsel, noted the Board met in executive session prior to public session. He also noted there will be motions to 10 entertain discussions during executive session.] * * * 11 12 MR. MCMURRY: 13 I look for a motion to direct Board 14 counsel to draft a provisional denial 15 regarding the Application of Lee Leslie. 16 CHAIRMAN RENTZEL: 17 Do I hear a motion for provisional denial? 18 19 MR. RADER: 20 So moved. 21 CHAIRMAN RENTZEL: 22 Gerald Rader made a motion. Do I hear a 23 second? 2.4 MR. TRACE: 25 Second.

10 1 CHAIRMAN RENTZEL: 2 Second from Dan Trace. Any discussion? 3 If not, all those in favor give their 4 consent by saying aye. Opposed? 5 [The motion carried unanimously.] * * * 6 7 MR. MCMURRY: Next is the Application for Licensure 9 for Jesse Camelleri. 10 Based on discussions in executive 11 session, at this point in time, I am 12 looking for a motion to direct Board 13 counsel to issue the Board's provisional denial of licensure for Mr. Camelleri. 14 15 Could I have a motion for that? 16 MR. TRACE: So moved. 17 MR. HOSTETTER: 18 19 Second. 2.0 CHAIRMAN RENTZEL: 21 Any discussion? If not, all those in 22 favor of provisional denial give their 2.3 consent by saying aye. Opposed? 2.4 [The motion carried unanimously.] * * * 25

11 1 MR. MCMURRY: 2 The next item we have is review of the 3 hearing examiner's proposed Adjudication 4 and Order, the Commonwealth of 5 Pennsylvania Bureau of Professional and 6 Occupational Affairs v. Rodney James Loomis, Justin Rodney Loomis & Loomis Group LLC at Case Nos. 13-64-11436, 16-9 64 - 06530 & 16 - 64 - 06531. 10 The Board reviewed in executive 11 session prior to this meeting the 12 proposed Adjudication and Order issued by the hearing examiner. 1.3 14 At this point in time, I would look 15 for a motion to direct Board counsel to 16 adopt the hearing examiner's proposed 17 Adjudication and Order as directed by the Board. 18 19 MR. HOSTETTER: 20 So moved. 21 MR. TRACE: 22 Second. 2.3 CHAIRMAN RENTZEL: 2.4 The motion was made and seconded. 25 discussion? If not, all those in favor

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give their consent by saying aye.

- 2 Opposed?
- 3 [The motion carried unanimously.]
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- 5 Report of Board Counsel
- 6 | [Jason McMurry, Esquire, Board Counsel, informed the
- 7 | Board he will be speaking with Ms. Kocher regarding
- 8 pending regulations and will be moving those forward.]
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- 10 Report of Board Chairman
- 11 [Nevin B. Rentzel, Chairman, Professional Member,
- 12 encouraged everyone to renew their licenses by
- 13 February 20.
- 14 Chairman Rentzel thanked Commissioner Johnson for
- 15 his attendance at the meeting.]
- 16 ***
- 17 | Report of Commissioner No Report
- 18 | [K. Kalonji Johnson, Commissioner, Bureau of
- 19 | Professional and Occupational Affairs, wished everyone
- 20 | Happy New Year.]
- 21 ***
- 22 Report of Board Administrator
- 23 [Terrie Kocher, Board Administrator, requested the
- 24 Board's approval of the proposed 2022 Board meeting
- 25 dates.]

13 * * * 1 2 MR. HOSTETTER: 3 Motion to accept the meeting dates for 2022. 4 5 COMMISSIONER JOHNSON: 6 Second. 7 CHAIRMAN RENTZEL: Any discussion on the proposed meeting 9 dates? If not, all those in favor of accepting those dates give their consent 10 11 by saying aye. Opposed? 12 [The motion carried unanimously.] * * * 13 [Terrie Kocher, Board Administrator, welcomed Jason 14 15 McMurry as the new Board counsel and thanked former Board counsel, Mr. Suter, for his work with the Board. 16 17 Chairman Rentzel noted Mr. Suter did an excellent 18 job and looks forward to working with Mr. McMurry.] * * * 19 20 Miscellaneous 21 [Terrie Kocher, Board Administrator, noted the 22 remaining 2021 meeting dates for the Board's review.] 2.3 24 [Nevin B. Rentzel, Chairman, Professional Member, 25 thanked Mr. Stauffer for all of his hard work and

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   patience.]
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   Adjournment
   CHAIRMAN RENTZEL:
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                  Do I hear a motion to adjourn?
   MR. HOSTETTER:
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                  So moved.
   CHAIRMAN RENTZEL:
                  Sherman. Do I hear a second?
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   MR. TRACE:
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                  Second.
   CHAIRMAN RENTZEL:
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                  All those in favor give their consent by
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                  saying aye.
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   [The motion carried unanimously.]
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   [There being no further business, the State Board of
   Auctioneer Examiners Meeting adjourned at 11:03 a.m.]
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I hereby certify that the foregoing summary minutes of the State Board of Auctioneer Examiners meeting, was reduced to writing by me or under my supervision, and that the minutes accurately summarize the substance of the State Board of Auctioneers meeting.

CERTIFICATE

Derek Richmond,

Minute Clerk

Sargent's Court Reporting
Service, Inc.