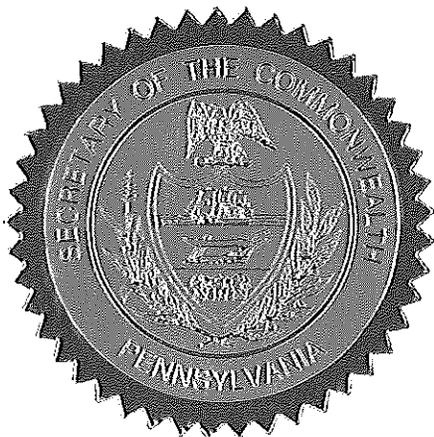


COMMONWEALTH OF PENNSYLVANIA

DEPARTMENT OF STATE

**REPORT CONCERNING THE REEXAMINATION RESULTS OF THE
DOMINION VOTING SYSTEM AVC EDGE MODEL 2 DRE VOTING SYSTEM AND
WINEDS 3.1.012**



Issued By:

A handwritten signature in cursive script that reads "Carol Aichele".

Carol Aichele
Secretary of the Commonwealth
September 11, 2012

I. INTRODUCTION

Article XI-A of the Pennsylvania Election Code, 25 P.S. § 3031.1 et seq., authorizes the use of electronic voting systems. Section 1105-A of the Pennsylvania Election Code, 25 P.S. § 3031.5, requires that the Secretary of the Commonwealth examine all electronic voting systems used in any election in Pennsylvania and that the Secretary make and file a report stating whether, in her opinion, the electronic voting system can be safely used by voters and meets all of the applicable requirements of the Election Code.

On January 30, 2006, Michael I. Shamos, Ph.D., J.D., a consultant retained by the Secretary¹ to conduct an examination of the AVC Edge Model 2 Direct Recording Electronic (“DRE”) Voting System and WinEDS, version 3.1.012 (hereafter referred to as “AVC Edge Model 2 Voting System”), issued a report recommending that the Secretary certify the AVC Edge Model 2 Voting System under specific conditions. On February 15, 2006, the Secretary certified the AVC Edge Model 2 Voting System for use in elections in the Commonwealth and issued a report, in accordance with Section 1105-A(b) of the Pennsylvania Election Code, 25 P.S. § 3031.5(b).

Section 1105-A(a) of the Pennsylvania Election Code provides that, upon payment of a reexamination fee, “[a]ny ten or more persons, being qualified registered electors of this Commonwealth, may, at any time, request the Secretary of the Commonwealth to reexamine any electronic voting system theretofore examined and approved.” 25 P.S. § 3031.5(a). By letter dated August 25, 2011, a requisite number of electors formally requested that the Secretary conduct a reexamination of the AVC Edge Model 2 Voting System.

In 2006, the Pennsylvania Department of State, acting through then-Secretary of the Commonwealth Pedro A. Cortés, initially rejected similar requests from other groups of electors who sought reexaminations of different electronic voting systems on the basis that the reexaminations were not necessary because (1) the electronic voting systems had only recently been examined and approved by the Secretary, and (2) there was no indication of irregularities or deficiencies that had arisen subsequent to the examinations to warrant reexaminations.

Subsequent to, and partially as a result of, these decisions by the Department of State, a group of Pennsylvania electors commenced suit (by means of a Petition for Review in the Commonwealth Court) claiming, among other things, that the Secretary of the Commonwealth has a mandatory duty under Section 1105-A of the Pennsylvania Election Code, 25 P.S. §

¹ Section 1107-A of the Election Code provides that “[n]o electronic voting system shall, upon any examination or reexamination, be approved by the Secretary of the Commonwealth, or by any examiner appointed by [her], unless it shall be established that such system, at the time of such examination or reexamination” meet specified requirements. 25 P.S. § 3031.7 (emphasis added).

3031.5, to conduct a reexamination when requested to do so in accordance with the statute. In overruling the Secretary's preliminary objections to the Petition for Review, the Commonwealth Court unanimously agreed. See, e.g., Banfield v. Cortés, 922 A.2d 36, 48 (Pa. Commw. Ct. 2007) (concurring and dissenting op.) ("Upon receipt of a request for reexamination, the Secretary must examine the electronic voting system.... It is up to the Secretary how to examine the electronic voting system, but examine it [she] must under the most straightforward reading of Section 1105-A(a) of the Election Code.")²

In July 2011, this Secretary, having only recently assumed the office, evaluated Section 1105-A of the Pennsylvania Election Code and the duties of the Secretary of the Commonwealth to reexamine electronic voting systems when requested to do so pursuant to and consistent with the statute. Based on her evaluation of the law, including the interpretation reflected in the Commonwealth Court's Banfield opinions, the Secretary determined that she had a duty to reexamine the AVC Edge Model 2 Voting System in response to the 2011 request.

Accordingly, the Secretary appointed Jack Cobb ("Examiner"), Laboratory Director of Pro V&V, Inc., as a professional consultant to conduct a reexamination of the AVC Edge Model 2 Voting System pursuant to Section 1105-A(a) of the Pennsylvania Election Code, 25 P.S. § 3031.5(a). The Examiner performed the reexamination on March 5, 2012, in Hearing Room 1 of the Commonwealth Keystone Building, 400 North Street, Harrisburg, Pennsylvania. Ian Harlow, Deputy Commissioner of the Department's Bureau of Commissions, Elections and Legislation, and Kathleen Kotula, Assistant Counsel for the Department, represented the Secretary of the Commonwealth. Ed Smith, Vice President, Certification and Compliance; Ian Piper, Director of Certification; and Paul Terwilliger, Senior Engineer, represented Dominion Voting Systems, Inc. ("Dominion")(formerly Sequoia), the vendor of the AVC Edge Model 2 Voting System. The reexamination was open to the public and was video recorded by staff members of the Department's Bureau of Commissions, Elections and Legislation. Four members of the public attended the reexamination: Dale Dalton of York County; Jessica Myers of the U.S. Election Assistance Commission; Marybeth Kuznik of VotePA; and Robert Fitzgerald of Buchanan, Ingersoll & Rooney PC.

II. THE AVC EDGE MODEL 2 VOTING SYSTEM

The following firmware/software, hardware and peripheral components making up the AVC Edge Model 2 Voting System were presented for reexamination:

- Firmware/Software
 - AVC Edge Firmware, version 5.0.24; and
 - WinEDS, version 3.1.012.

² The Banfield matter remains pending before the Commonwealth Court at No. 213 M.D. 2006.

- Hardware
 - AVC Edge Model 2 Direct Recording Electronic (“DRE”) Voting System, Model AVC Edge 2;
 - Card Activator; and
 - ADA Handset.
- Peripherals
 - Personal Computer Memory Card International Association (“PCMCIA”) Cards;
 - Desktop Printer, Model Brother HL 2240; and
 - Voter Access Cards.

The following paragraphs in this section briefly describe the AVC Edge Model 2 Voting System and are adopted in large part from Section 2.0 (“System Overview and Identification”) of the Test Report for Re-examination of the Dominion Voting Systems AVC Edge Model 2 DRE Voting System and WinEDS 3.1.012, a report issued by the Examiner on August 21, 2012.

The AVC Edge Model 2 Voting System is a DRE Voting System that consists of the AVC Edge Model 2 DRE voting device, the Card Activator and the ADA Handset.

The AVC Edge Model 2 Voting System provides a color Liquid Crystal Display (“LCD”) with an integrated touch screen to present the ballot to the voter and allow the voter to make selections. The AVC Edge Model 2 Voting System also includes a control panel for use by election poll workers, electronic circuitry and processing devices for performing system functions, internal memory for storing ballot data and voting records, a removable results cartridge with non-volatile memory, protective and public counters, and integrated voter privacy panels.

The AVC Edge Model 2 Voting System is a stand-alone system that does not require any networking to a central system in order to function. All processing, from loading the ballots generated in WinEDS (the election management software utilized by the AVC Edge Model 2 Voting System) to recording voter’s selections, are done on the individual units. Accumulating tallies is accomplished via a Results Cartridge.

The Results Cartridge stores the following:

- An election representation of the ballot;
- Ballot logic to enable the voter to make those selections to which they are lawfully entitled;
- The aggregated vote totals;
- A randomized record of all individual ballots cast; and
- A chronological log of significant machine operations, including error conditions.

The Card Activator is designed to activate voter cards, which enables the voter to vote upon insertion of the card into the AVC Edge Model 2 DRE voting device.

The ADA Handset is an optional audio voting accessory used for audio voting with the AVC Edge Model 2 DRE voting device.

III. REEXAMINATION PROCEDURES AND RESULTS

A. Reexamination Process and Procedures

To ascertain whether the AVC Edge Model 2 Voting System can be safely used by the voters at elections in the Commonwealth and meets all the requirements of the Pennsylvania Election Code, the Examiner developed test protocols for the reexamination.³ The test protocols separated the requirements of Article XI-A of the Pennsylvania Election Code, sections 1101-A to 1122-A, 25 P.S. §§ 3031.1 – 3031.22, into four main areas of test execution: (1) Review; (2) Targeted Functionality; (3) System Integration; and (4) Penetration Analysis.

“Review” testing consisted of analyzing ITA⁴ and other third-party reports for specific tests pertaining to the requirements of the Pennsylvania Election Code and verifying that the AVC Edge Model 2 Voting System meets those requirements. The Examiner conducted Review testing after the March 5, 2012, reexamination to determine compliance with the following sections of the Pennsylvania Election Code:

- 1105-A(a), 25 P.S. § 3031.5(a), requiring that an electronic voting system “ha[ve] been examined and approved by a federally recognized independent testing authority” and that it “meet any voting system performance and test standards established by the Federal Government;”
- 1107-A(11), 25 P.S. § 3031.7(11), requiring that an electronic voting system be “suitably designed for the purpose used, ... constructed in a neat and workmanlike manner of durable material of good quality, ... safely and efficiently useable in the conduct of elections and, with respect to the counting of ballots cast at each district, ... suitably designed and equipped to be capable of absolute accuracy, which accuracy shall be demonstrated to the Secretary of the Commonwealth;”
- 1107-A(13), 25 P.S. § 3031.7(13), requiring that an electronic voting system, “[w]hen properly operated, records correctly and computes and tabulates accurately every valid vote registered;”

³ The test protocols, as well as the time and place of the reexamination, were published on the website of the Department of State prior to the start of the reexamination on March 5, 2012.

⁴ Section 1105-A(a) of the Election Code requires that an electronic voting system be examined and approved “by a federally recognized independent testing authority,” or ITA. 25 P.S. § 3031.5(a).

- 1107-A(14), 25 P.S. § 3031.7(14), requiring that an electronic voting system be “safely transportable;” and
- 1107-A(15), 25 P.S. § 3031.7(15), requiring that an electronic voting system be “so constructed that a voter may readily learn the method of operating it.”

“Targeted Functionality” testing consisted of single-thread test cases designed to ascertain whether the AVC Edge Model 2 Voting System complies with the requirements set forth in the following sections of the Pennsylvania Election Code:

- 1107-A(1), 25 P.S. § 3031.7(1), requiring that an electronic voting system “[p]rovides for voting in absolute secrecy and prevents any person from seeing or knowing for whom any voter, except one who has received or is receiving assistance as prescribed by law, has voted or is voting;”
- 1107-A(2), 25 P.S. § 3031.7(2), requiring that an electronic voting system, “[p]rovides facilities for voting for such candidates as may be nominated and upon such questions as may be submitted
- 1107-A(3), 25 P.S. § 3031.7(3), requiring that an electronic voting system “[p]ermits each voter, at other than primary elections, to vote a straight political party ticket by one mark or act and, by one mark or act, to vote for all the candidates of one political party for presidential electors and, by one mark or act, to vote for all the candidates of one political party for every office to be voted for, and every such mark or act shall be equivalent to and shall be counted as a vote for every candidate of the political party so marked including its candidates for presidential electors, except with respect to those offices as to which the voter has registered a vote for individual candidates of the same or another political party or political body, in which case the automatic tabulating equipment shall credit the vote for that office only for the candidate individually so selected, notwithstanding the fact that the voter may not have individually voted for the full number of candidates for that office for which he was entitled to vote;”
- 1107-A(4), 25 P.S. § 3031.7(4), requiring that an electronic voting system “[p]ermits each voter, at other than primary elections, to vote a ticket selected from the nominees of any and all political parties, from the nominees of any and all political bodies, and from any persons whose names are not in nomination and do not appear upon the official ballot;”
- 1107-A(5), 25 P.S. § 3031.7(5), requiring that an electronic voting system “[p]ermits each voter to vote for any person and any office for whom and for which he is lawfully entitled to vote, whether or not the name of such person appears upon the ballot as a candidate for nomination or election;”
- 1107-A(7), 25 P.S. § 3031.7(7), requiring that an electronic voting system, “[i]f it is of a type that registers the vote electronically, ... preclude each voter from voting for more persons for any office than he is entitled to vote for or upon any question more than once;”

- 1107-A(10), 25 P.S. § 3031.7(10), requiring, in pertinent part, that an electronic voting system, “[i]f it is of a type that registers the vote electronically, ... permit each voter to change his vote for any candidate or upon any question appearing on the official ballot up to the time that he takes the final step to register his vote and to have his vote computed;”⁵ and
- 1107-A(16), 25 P.S. § 3031.7(16), requiring that the “district component of the automatic tabulating equipment” of an electronic voting system that provides for the “computation and tabulation of votes at the district level” include (a) a “visible” public counter that “shall show during any period of operation the total number of ballots entered for computation and tabulation;” (b) lock(s) that “absolutely” prevent “all operation of the tabulation element of the automatic tabulating equipment ... after the polls are closed or where the tabulation of votes is completed;” (c) construction or control capabilities “that, during the progress of voting, ... preclude every person from seeing or knowing the number of votes theretofore registered for any candidate or question; and ... preclude every person from tampering with the tabulating element;” (d) a mechanism or capability that rejects over votes but, “if used during the period of voting, it may also have the capacity to indicate to a voter that he has improperly voted for more candidates for any office than he is entitled to vote for, and in such case it shall have the capacity to permit the voter to mark a new ballot or to forego his opportunity to make such correction;” and (e) an “element which generates a printed record at the beginning of its operation which verifies that the tabulating elements for each candidate position and each question and the public counter are all set to zero and with an element which generates a printed record at the finish of its operation of the total number of voters whose ballots have been tabulated, the total number of votes cast for each candidate whose name appears on the ballot, and the total number of votes cast for, or against, any question appearing on the ballot.”

“System Integration” testing sought to ascertain whether the AVC Edge Model 2 Voting System meets all of the requirements of the Pennsylvania Election Code that can be met by the execution of an entire election. The Examiner created two election definitions, a general election and a closed primary election, to test and measure specific input devices, voting patterns, and results. Pre-voting reports were generated, consisting of a ballot proof report, precinct summary report, and election summary report. The polls were opened and precinct tabulator zero proof reports were generated. The Examiner, staff members from the Bureau of Commissions, Elections and Legislation, and employees from the Bureau of Management Information Systems and other bureaus within the Pennsylvania Department of State, input a complex voting pattern

⁵ Section 1107-A(10) of the Election Code distinguishes between electronic voting systems that register votes electronically, like the AVC Edge Model 2 Voting System, and those that “use paper ballots or ballot cards to register votes.” 25 P.S. § 3031.7(10). For the latter, the Pennsylvania Election Code requires that “the system shall provide that a voter who spoils his ballot may obtain another ballot; any ballot thus returned shall be immediately cancelled and at the close of the polls shall be enclosed in an envelope marked ‘Spoiled’ which shall be sealed and returned to the county board.” 25 P.S. § 3031.7(10).

consisting of distinct combinations of vote selection, as documented in the test protocols. The polls were closed and results reports were generated, including cast vote records and ballot images. The voted data was then transferred to the election management system (“EMS”), and election summary reports, precinct summary reports, and ballot images were printed. At the conclusion of the test, the Examiner conducted a statistical recount of at least 10% of the votes cast by comparing the documented voting pattern with the cast vote records and ballot images. The procedures identified each ballot by the distinct voting pattern that was input. The Examiner also generated a backup of the EMS database and retained a copy with the other test data.

System Integration testing tested proprietary hardware, firmware/software and peripherals, as well as commercial, off-the-shelf materials configured as a precinct- or district-count system. While System Integration testing addressed many of the requirements of the Pennsylvania Election Code that were also the subject of Targeted Functionality testing, System Integration testing was designed to test compliance with the following additional sections:

- 1101-A, 25 P.S. § 3031.1, defining “electronic voting system” to mean “a system in which one or more voting devices are used to permit the registering or recording of votes and in which such votes are computed and tabulated by automatic tabulating equipment. The system shall provide for a permanent physical record of each vote cast;”
- 1107-A(4), 25 P.S. § 3031.7(4); requiring that an electronic voting system “[p]ermits each voter, at other than primary elections, to vote a ticket selected from the nominees of any and all political bodies, and from any persons whose names are not in nomination and do not appear upon the official ballot;
- 1107-A(6), 25 P.S. § 3031.7(6), requiring that an electronic voting system “[p]ermits each voter to vote for as many persons for any office as he is entitled to vote for and to vote for or against any question upon which he is entitled to vote and precludes each voter from voting or from having his vote tabulated for any candidate, or upon any question, for whom or upon which he is not entitled to vote;”
- 1107-A(8), 25 P.S. § 3031.7(8), requiring that an electronic voting system “[p]recludes each voter from voting or from having his vote tabulated more than once for any candidate for the same office or upon any question, except in districts and for offices where cumulative voting is authorized by law;”
- 1107-A(9), 25 P.S. § 3031.7(9), requiring that an electronic voting system “[p]ermits each voter at a primary election to vote only for the candidates seeking nomination by a political party in which such voter is registered and enrolled, and for any candidate for nonpartisan nomination, and for any question upon which he is entitled to vote;” and
- 1117-A, 25 P.S. § 3031.17, requiring that a “county board of elections, as part of the computation and canvass of returns, shall conduct a statistical recount of a random sample of ballots after each election using manual, mechanical or

electronic devices of a type different than those used for the specific election. The sample shall include at least two (2) per centum of the votes cast or two thousand (2,000) votes whichever is the lesser.”

“Penetration Analysis” testing sought to ascertain whether the AVC Edge Model 2 Voting System meets the requirement of Section 1107-A(12) of the Pennsylvania Election Code, which requires that an electronic voting system “provides acceptable ballot security procedures and impoundment of ballots to prevent tampering with or substitution of any ballots or ballot cards.” 25 P.S. § 3031.7(12).

Precinct tabulation devices were configured as if for delivery to a polling place from a warehouse; this included all suggested seals and locks. The Examiner inspected the device for the ability to tamper with the transportation case and the device inside the case. The inspection examined the ports, the outer case, and memory devices from the aspect of the device as delivered to the polling place and configured for voting. The Examiner also examined both the precinct device and the EMS for password management of administrative functions and ensured the system counter cannot be reset by unauthorized persons. The Examiner photographed the configuration and analyzed the configuration for possible vulnerabilities.

B. Reexamination Specifications

In accordance with the test protocols, the reexamination of the AVC Edge Model 2 Voting System occurred in an environmentally controlled room, which was selected primarily because it was large enough to hold the system, the participants, and the public. The room was configured such that the Examiner, the representatives of the Secretary of the Commonwealth, and Dominion each had their own independent work areas. Members of the public were allowed to observe the reexamination.⁶

Wyle Laboratories, a trusted source, provided the Department’s Bureau of Commissions, Elections and Legislation with a trusted build of the AVC Edge Model 2 Voting System prior to the date of the reexamination. On the day of the reexamination, Dominion provided three AVC Edge Model 2 precinct tabulation devices, the EMS with the test election definitions, and the components necessary to load the trusted build onto the precinct tabulation voting devices. The Examiner provided Dominion with the firmware/software that had been provided by the trusted source. Dominion then loaded the firmware/software onto the required media and installed the firmware/software on each of the precinct tabulation voting devices. Once the firmware/software was loaded onto the precinct tabulation voting devices, Dominion was not

⁶ The Secretary published the time and place of the reexamination on the Department of State website prior to the date of the reexamination. Additionally, the Department sent a letter to the primary contact for the group of electors who requested the reexamination notifying them of the time and place of the reexamination.

allowed access to the internal components of the devices without consent of the Examiner or a representative of the Secretary of the Commonwealth.

C. Reexamination Results

On August 21, 2012, the Examiner issued the test report for the AVC Edge Model 2 Voting System.

1. Review Testing Results

The Review testing performed by the Examiner demonstrates that the AVC Edge Model 2 Voting System meets the relevant requirements of the Pennsylvania Election Code.

Specifically, the ITA reports and certifications submitted by Dominion satisfy the requirements of Section 1105-A(a) of the Pennsylvania Election Code, 25 P.S. § 3031.5(a); the AVC Edge Model 2 Voting System has been examined and approved by an ITA as meeting the applicable performance and test standards established by the federal government.

The design requirements of Sections 1107-A(11) and (14) of the Election Code, 25 P.S. §§ 3031.7(11) & (14), are met by the documented Product Safety Test and Accuracy Test in the submitted ITA reports, which the Examiner deemed acceptable. Additionally, the accuracy requirements of Sections 1107-A(11) and (13), 25 P.S. §§ 3031.7(11) & (13), are met by the documented Accuracy Test in the submitted ITA report, which the Examiner found to be acceptable.

Section 1107-A(14) of the Election Code, 25 P.S. § 3031.7(14), is further met by the combination of Hardware Non-Operating Environmental Tests performed by the ITA, which included: bench handling, vibration, low temperature, high temperature, and humidity. These components tests were designed to test the storage of precinct tabulation devices between elections, as well as transportation between the storage facility and the polling place.

2. Targeted Functionality Testing Results

The protocols relating to Targeted Functionality testing developed and published prior to the reexamination consisted of nine (9) separate tests. The Examiner conducted each of these tests during the reexamination with necessary modifications, as indicated below (see Part III.D). No issues or material anomalies were experienced during these tests, and the objective criteria established in the test protocols were met.

Specifically, the reexamination of the AVC Edge Model 2 Voting System demonstrates that, if set up pursuant to item four (4) of the Directive Concerning the Use, Implementation and Operation of Electronic Voting Systems by the County Boards of Elections issued by the Secretary of the Commonwealth on April 28, 2009, the AVC Edge Model 2 Voting System provides the requisite voter secrecy in compliance with Section 1107-A(1) of the Election Code,

25 P.S. § 3031.7(1). Additionally, the AVC Edge Model 2 Voting System successfully records and reports:

- votes for “1 of 1,” “N of M,” and “Question” contests for both a standard voting session and an ADA voting session in compliance with Section 1107-A(2), 25 P.S. § 3031.7(2);
- straight party votes and demonstrated the “Pennsylvania Method” of straight party voting for both a standard voting session and an ADA voting session, meeting the requirements of Section 1107-A(3), 25 P.S. § 3031.7(3); and
- straight party and write-in votes for both a standard voting session and an ADA voting session, meeting the requirements of Sections 1107-A(4) & (5), 25 P.S. §§ 3031.7(4) & (5).

The AVC Edge Model 2 Voting System also successfully prohibits a voter from selecting more than the number of allowable selections, including write-in votes, for both a standard voting session and an ADA voting session, in compliance with Section 1107-A(7), 25 P.S. § 3031.7(7). The AVC Edge Model 2 Voting System provides a voter with a review screen and allows a voter to return to either the beginning of the ballot or an individual contest to make changes to his or her selection(s) before casting the ballot for both a standard voting session and an ADA voting session, meeting the requirements of Section 1107-A(10), 25 P.S. § 3031.7(10). Lastly, the AVC Edge Model 2 Voting System provides a public counter that increments with each cast vote; provides the ability to be locked after the polls are closed; precludes the re-opening of the polls after they are closed; possesses design features that allow tamper evident locks and seals to be placed on the device; and provides a zero proof and results report, as required under Section 1107-A(16), 25 P.S. § 3031.7(16).

3. System Integration Testing Results

The AVC Edge Model 2 Voting System successfully completed both the general and closed primary elections. The Examiner experienced no issues or material anomalies during these tests, and the AVC Edge Model 2 Voting System met the objective criteria set forth in the test protocols. Accordingly, the AVC Edge Model 2 Voting System meets the requirements of Sections 1101-A and 1117-A of the Pennsylvania Election Code, 25 P.S. §§ 3031.1 & 3031.17, in that the system is able to provide for a permanent physical record in the format of the cast vote record and ballot images that can be used to conduct a statistical recount. The System Integration testing further confirmed that the AVC Edge Model 2 Voting System complies with Section 1107-A(4), 25 P.S. § 3031.7(4), in that the system successfully allowed voters in a general election to vote for candidates from all parties and political bodies, including write-in candidates. The AVC Edge Model 2 Voting System complies with Section 1107-A(9), 25 P.S. § 3031.7(9), in that test voters in the primary election were only able to vote for candidates seeking nomination from their party and the system rejected attempts to vote for candidates seeking

nomination from the other party. The AVC Edge Model 2 Voting System meets the requirements for Sections 1107-A(6) and (8), 25 P.S. §§ 3031.7(6) & (8), in that the test voters cast votes on different ballot styles for candidates and questions and the AVC Edge Model 2 Voting System precluded test voters from over voting.

4. Penetration Analysis Results

During the performance of this analysis, the AVC Edge Model 2 Voting System provided acceptable ballot security procedures and impoundment of ballots to prevent tampering with or substitution of any ballots or ballot cards. It also provided acceptable password management and restriction of access to administrative functions. Therefore, the AVC Edge Model 2 Voting System meets the requirements of Section 1107-A(12) of the Pennsylvania Election Code, 25 P.S. § 3031.7(12).

D. Observations

1. Review Testing Observations

As a result of the Review testing, the Examiner concluded that the AVC Edge Model 2 Voting System complies with the requirements of the relevant sections of the Pennsylvania Election Code.

The Examiner noted that Dominion did not provide a Summative Usability Report, related to the requirements set forth in Section 1107-A(15) of the Election Code, 25 P.S. § 3031.7(15). The Examiner nevertheless concluded that the AVC Edge Model 2 Voting System complies with this requirement. The Examiner did not encounter any issues performing the method of operation for standard voting sessions or ADA voting sessions during execution of the reexamination. Additionally, Department of State staff members were used to input voting data for the System Integration testing, and no operational issues were reported. The Secretary accepts this conclusion.

2. Targeted Functionality Testing Observations

As a result of the Targeted Functionality testing, the Examiner concluded that the AVC Edge Model 2 Voting System complies with the requirements of the relevant sections of the Pennsylvania Election Code.

The Examiner noted that the observer reported being able to see parts of the ballot and voter inputs while executing both the standard voting and ADA test cases for Section 1107-A(1) of the Election Code, 25 P.S. § 3031.7(1), which requires an electronic voting system to provide for voting in secrecy. For the ADA test case, the Examiner noted that he tested the AVC Edge Model 2 Voting System while activated for a standard voting session and that the LCD display is not active in an actual ADA voting session. The Examiner concluded that the AVC Edge Model 2 Voting System, if set up pursuant to item four (4) of the Directive Concerning the Use,

Implementation and Operation of Electronic Voting Systems by the County Boards of Elections issued by the Secretary of the Commonwealth on April 28, 2009, provides the requisite voter secrecy so that an observer is unable to see whom a voter voted for, in compliance with the Pennsylvania Election Code.

3. System Integration Testing Observations

The Examiner did not note any issues or anomalies during the execution of the System Integration testing. As noted above, as a result of this testing, the Examiner concluded that the AVC Edge Model 2 complies with the requirements and relevant sections of the Pennsylvania Election Code.

4. Penetration Analysis Observations

The Examiner did not note any issues or anomalies during the execution of the Penetration Analysis testing. As noted above, as a result of this testing, the Examiner concluded that the AVC Edge Model 2 complies with the requirements and relevant sections of the Pennsylvania Election Code.

IV. CONDITIONS FOR CERTIFICATION

Given the results of the March 5, 2012, reexamination and the findings of the Examiner as set forth in his August 21, 2012, report, the Secretary of the Commonwealth certifies the AVC Edge Model 2 Voting System in accordance with the conditions detailed in the report dated February 15, 2006, and the following additional condition:

- Pennsylvania counties using the AVC Edge Model 2 Voting System must comply with the Directive Concerning the Use, Implementation and Operation of Electronic Voting Systems by the County Boards of Elections issued by the Secretary of the Commonwealth on April 28, 2009, and in particular adhere to item four (4) of the directive when setting up and positioning the precinct tabulation devices in the polling place to assure compliance with the constitutional and statutory requirements that secrecy in voting be preserved (see Pa. Const. Art. VII. § 4; and Section 1107-A(1) of the Election Code, 25 P.S. § 3031.7(1)).

V. RECOMMENDATIONS

The Secretary of the Commonwealth reaffirms the recommendations in the Secretary's certification report dated February 15, 2006.

VI. CONCLUSION

As a result of the reexamination conducted on March 5, 2012, and after consultation with the Department's staff and the Examiner, the Secretary of the Commonwealth concludes that the

AVC Edge Model 2 Voting System can be safely used by voters at elections as provided in the Pennsylvania Election Code and meets all of the requirements set forth in the Code, **provided it is implemented with the conditions listed in Section IV of this report, as well as all conditions listed in the February 15, 2006, report.** Accordingly, the Secretary reaffirms certification of the AVC Edge Model 2 Voting System, and continues to approve the AVC Edge Model 2 Voting System for use in this Commonwealth.

In addition, pursuant to the Directive on Electronic Voting Systems issued by the Secretary of the Commonwealth on August 8, 2006, the Directive Concerning the Use, Implementation and Operation of Electronic Voting Systems by the County Boards of Elections issued on April 28, 2009, and Section 1105-A(d) of the Pennsylvania Election Code, 25 P.S. § 3031.5(d), this certification and approval is valid only for the voting system discussed in this Report. If the vendor or a County Board of Elections makes **any** changes to the AVC Edge Model 2 Voting System subsequent to the date of its reexamination, it must **immediately** notify the Pennsylvania Department of State and the relevant federal testing authority or laboratory. Failure to do so may result in the decertification of the AVC Edge Model 2 Voting System in the Commonwealth of Pennsylvania.

All jurisdictions that have implemented the AVC Edge Model 2 Voting System pursuant to the certification of February 15, 2006, must implement the AVC Edge Model 2 Voting System under this certification and must comply with the directives found in this Report, previous reports for the AVC Edge Model 2 Voting System, and any directives issued by the Secretary of the Commonwealth regarding the use of this System, in accordance with Section 1105-A(a)-(b) of the Pennsylvania Election Code, 25 P.S. § 3031.5(a)-(b).

The AVC Edge Model 2 Voting System will accommodate no more than 350 voters per unit.