



**Pennsylvania
DEPARTMENT OF STATE**

**STATE BUSINESS LICENSING BOARDS
DISCIPLINARY ACTIONS
May 2007**

Secretary of the Commonwealth Pedro A. Cortés and Basil L. Merenda, Commissioner of the Department's Bureau of Professional and Occupational Affairs, announced 22 disciplinary actions taken by the following State Business Licensing Boards: Accountancy; Architects; Auctioneer Examiners; Barber Examiners; Certified Real Estate Appraisers; Cosmetology; Funeral Directors; Landscape Architects; and Professional Engineers, Land Surveyors and Geologists.

Actions were taken against individuals or firms in the following counties: Allegheny (9 actions); Berks (1 action); Bucks (1 action); Franklin (1 action); Indiana (1 action); Lackawanna (1 action); Monroe (1 action); Philadelphia (4 actions); Venango (1 action); and Westmoreland (1 action). One action involved a Pennsylvania license with an out-of-state address.

BOARD OF ACCOUNTANCY

Allegheny County

William E. Caswell, license no. CA017032L of Pittsburgh, Allegheny County, was suspended for two years, retroactive to Oct. 1, 2005. Caswell had his right to practice before the Security and Exchange Commission suspended. (04-19-06)

Michael A. DiMatteo, license no. PA000726L of Pittsburgh, Allegheny County, was publicly reprimanded and assessed a \$1,000 civil penalty because he practiced public accounting without a current and active license. (04-19-07)

Robert Luke Ryan, license and certificate no. CA009150L of Pittsburgh, Allegheny County, was assessed a \$2,000 civil penalty because Ryan practiced on an expired license and certificate for approximately four years. (04-19-07)

Michael Sorochnik, license no. CA13890L of North Versailles, Allegheny County, was assessed a \$3,000 civil penalty because Sorochnik engaged in the practice of accounting and held himself out as a certified public accountant through the use of signage and office stationery and by signing hundreds of tax returns as

“CPA” from May 1, 2004 to Jan. 3, 2005, after his license had expired on April 30, 2004, and was not renewed until Jan. 4, 2005. (04-19-07)

Robert I. Goldstein, license no. CA010466L of Pittsburgh, Allegheny County, was assessed a \$5,000 civil penalty because from May 1, 2002, to Jan. 31, 2005, Goldstein held himself out as a certified public accountant and practiced public accounting when he was not licensed; he engaged in the practice of public accounting when he was not a licensee; he issued at least one report after his license had expired on April 30, 2002; and he had not obtained the requisite peer review before performing three audit reports. (04-19-07)

Thomas J. Dausch, certificate no. CA033395L, of Pittsburgh, Allegheny County, was assessed a \$6,000 civil penalty for practicing public accounting and holding himself out as a certified public accountant without a current license. (04-25-07)

Bucks County

Lisa M. Leszczynski, license and certificate no. CA028418L of Yardley, Bucks County, was assessed a \$1,000 civil penalty because Leszczynski practiced for two years as a certified public accountant on an expired license and certificate. (04-19-07)

Lackawanna County

Kelly Barbetti and Company, license no. PX000765L of Dunmore, Lackawanna County, was assessed a \$1,000 civil penalty because Kelly Barbetti and Company, through its agent, conducted two continuing education seminars in public accounting for credit on or about Sept. 15, 2004 and on or about Oct. 29, 2005, when it was not authorized to do so because its license expired on Dec. 31, 2003, and was not renewed until Feb. 4, 2006. (04-19-07)

Monroe County

Charles Kevin Crown, certificate no. CA038014, of Blakeslee, Monroe County, had his certificate revoked and was assessed a \$4,000 civil penalty based on findings that he held himself out as a certified public accountant in the practice of public accounting without a license and demonstrated dishonesty and unprofessional conduct in the practice of public accounting. (04-24-07)

Philadelphia County

Hee Jung Park, license no. CA025760L, of Melrose Park, Philadelphia County, was reprimanded and assessed a \$500 civil penalty because Park used the designation "CPA" for his firm when it had never been licensed or certificated, used the same designation for himself while his license was not active, and violated a board order by practicing while his license was suspended. (04-17-07)

Desmond D. Owens, of Philadelphia, Philadelphia County, was assessed a \$1,000 civil penalty for using a professional designation that was likely to be

confused with the designation “certified public accountant” or that falsely implied he is accredited by an accounting regulatory body. (04-20-07)

Venango County

Fries & Associates, license no. AF000735L, of Cranberry Twp., Venango County, was publicly reprimanded and assessed a \$1,000 civil penalty because it acted and held itself out as a certified public accounting firm without a current and active license. (04-19-07)

Westmoreland County

David G. Momeyer, license no. CA025756L of Export, Westmoreland County, was assessed a \$2,000 civil penalty because Momeyer practiced as a public accountant on a lapsed license. (04-17-07)

Out of State

Nancy C. McNamee, license no. CA019063L. of Newton, N.J., was publicly reprimanded and assessed a \$2,000 civil penalty because McNamee engaged in the practice of public accounting when she did not hold a current and valid license, and because she failed to comply with standards promulgated by any recognized public or private standard-setting body that is applicable to the professional service being performed. (04-19-07)

BOARD OF ARCHITECTS LICENSURE

No disciplinary actions taken.

BOARD OF AUCTIONEER EXAMINERS

No disciplinary actions taken.

BOARD OF BARBER EXAMINERS

Allegheny County

Brian C. Hart, license no. BL052176, of Pittsburgh, Allegheny County, was suspended by the Allegheny County Court of Common Pleas on April 11, 2007. The court issued the suspension, which was effective immediately, under section 4355 of the Domestic Relations Code. (04-18-07)

Berks County

Raymond G. Harris, Jr., license no. BL051476L, of Reading, Berks County, was suspended by the Berks County Court of Common Pleas on April 12, 2007. The court issued the suspension, which was effective immediately, under section 4355 of the Domestic Relations Code. (04-18-07)

BOARD OF CERTIFIED REAL ESTATE APPRAISERS

Allegheny County

Roger W. Schmitt, of Monroeville, Allegheny County, was assessed a \$3,000 civil penalty for performing three real estate appraisals without possessing a real estate appraiser certification from the Board. (3/12/07)

Patrick M. Brunick, of Pittsburgh, Allegheny County, had his application denied for lack of good moral character based on his prior criminal record. (04-24-07)

BOARD OF COSMETOLOGY

Franklin County

Elite Nails, license no.CO109272, of Waynesboro, Franklin County, was assessed an additional \$500 penalty to an existing \$500 for a total of \$1,000 for violation of a board order. (04-04-07)

Indiana County

Tamisha Cox, license no.CL178629, of Indiana, Indiana County, was assessed an additional \$250 penalty to an existing \$250 for a total of \$500 for practicing on a lapsed license. (04-04-07)

Philadelphia County

Lisa M. Castro, license no.CO218046L, of Philadelphia, Philadelphia County, was assessed a \$250 civil penalty for practicing on a lapsed license. (04-04-07)

Thuc Nguyen, t/d/b/a The Nailery, license no. CZ115493 of Philadelphia, Philadelphia County, was assessed a \$1,250 civil penalty because Nguyen practiced cosmetology in a grossly incompetent or unethical manner by utilizing two metal files and two metal rasps. (05-07-07)

STATE BOARD OF FUNERAL DIRECTORS

No disciplinary actions taken.

STATE BOARD OF LANDSCAPE ARCHITECTS

No disciplinary actions taken.

**STATE BOARD OF PROFESSIONAL ENGINEERS, LAND SURVEYORS AND
GEOLOGISTS**

No disciplinary actions taken.

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***A complete list of sanctions is available online at
www.state.pa.us
Keyword: licensing disciplinary actions.***

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