

SAMPLE FORM

*****THIS IS ONLY A SAMPLE FORM ONLY. PAPER APPLICATIONS WILL NOT BE ACCEPTED. YOU WILL ONLY BE ABLE TO SUBMIT THIS FORM VIA ELECTRONICALLY THROUGH THE PENNSYLVANIA LICENSING SYSTEM (PALS) DURING THE RENEWAL PERIOD. THIS FORM IS FOR INFORMATIONAL PURPOSES ONLY. YOU WILL BE GIVEN INSTRUCTIONS ON HOW TO FILE ELECTRONICALLY WHEN THE RENEWAL INFORMATION IS SENT.**

APPRAISAL MANAGEMENT COMPANY NATIONAL REGISTRY APPLICATION

Pursuant to Title XI of the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (Title XI), as amended by the Dodd-Frank Wall Street Reform and Consumer Protection Act (Dodd-Frank), the State Board of Certified Real Estate Appraisers (Board) is required to collect and transmit Appraisal Management Company (AMC) annual registry fees. See 12 U.S.C. 3338.

Only those AMCS that meet the Federal definition of an AMC are eligible for registration on the AMC National Registry, which is maintained by the Appraisal Subcommittee of the Federal Financial Institutions Examination Council. For the purposes of determining whether an AMC meets the federal definition of an AMC, please review the applicable federal laws and regulations. In general, an AMC meets the federal definition if it is a person (natural person or an organization, including a corporation) that:

- Provides appraisal management services to creditors or to secondary mortgage market participants, including affiliates;
- Provides such services in connection with valuing a consumer's principal dwelling as security for a consumer credit transaction or incorporating such transactions into securitizations; and
- Within a given 12-month period oversees an appraiser panel of more than 15 State-certified or State-licensed appraisers in a State or 25 or more State-certified or State-licensed appraisers in two or more States.

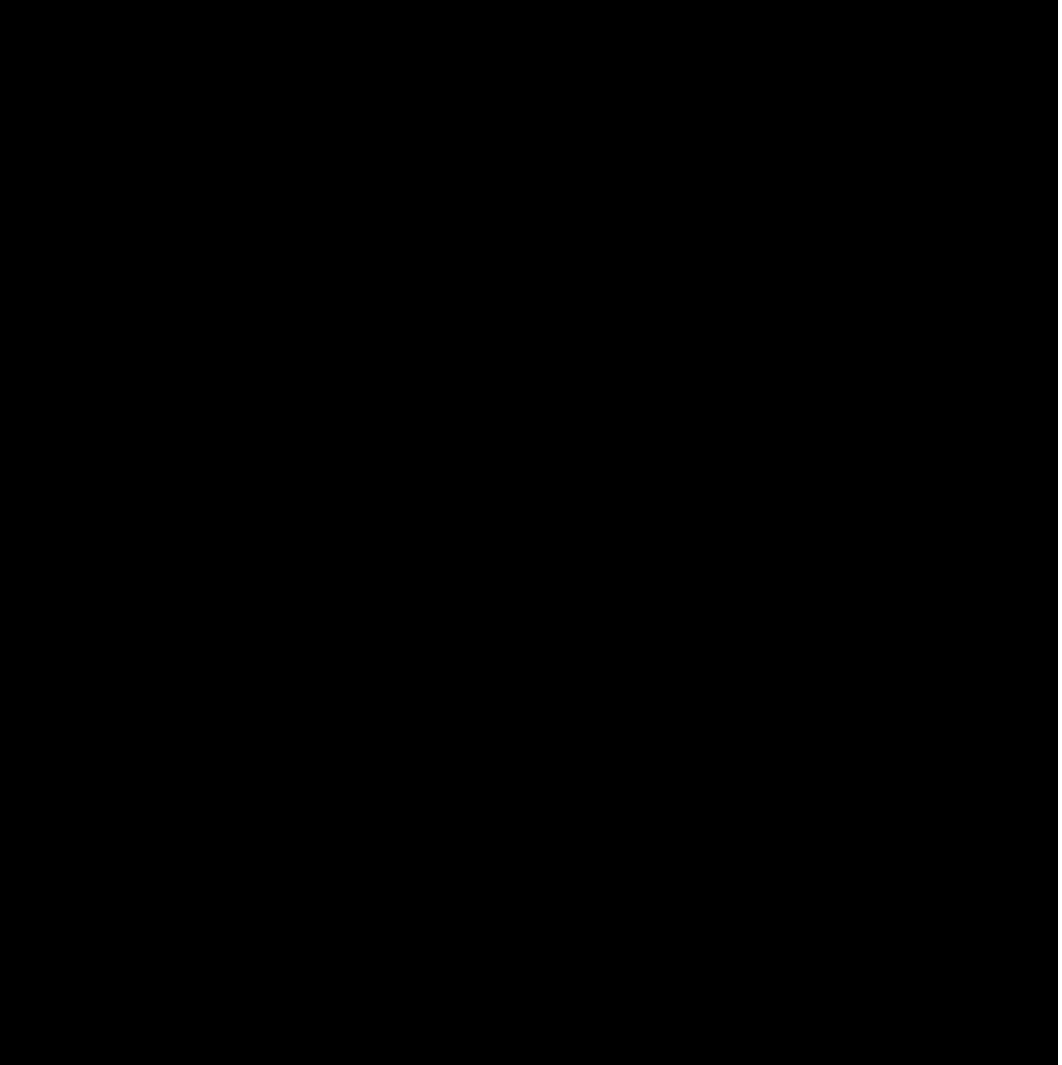
An AMC does not include a department or division of an entity that provides appraisal management services only to that entity.

All AMCS, including Federally regulated AMCs (Exempt Companies) and AMCs that do not meet the Federal definition of an AMC, must submit this application annually. To ensure timely listing on the AMC National Registry, applications should be submitted at least 15 days prior to June 30, 2019. For clarification of federal requirements and definitions, AMCs should review Title XI, *The AMC Final Rule* (80 FR 32658, June 9, 2015), and *The Final Rule on the Collection and Transmission of Annual AMC Registry Fees* (82 FR 44493, September 25, 2017), which can be accessed at: <https://www.federalregister.gov/>. The Appraisal Management Company Registration Act and Board regulations regarding AMC National Registry and reporting (49 Pa. Code § 36.438) may be accessed on the Board's website at: www.dos.pa.gov/real

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SECTION 1:

AMC NATIONAL REGISTRY INFORMATION

APPRAISAL MANAGEMENT COMPANY NAME:	
FICTITIOUS NAME, IF ANY:	
EMPLOYER IDENTIFICATION NUMBER (EIN):	
REGISTRATION NUMBER:	
REGISTRATION DATE:	
MAILING ADDRESS:	
ADDRESS, IF DIFFERENT THAN MAILING ADDRESS:	
EMAIL ADDRESS:	
CONTACT NUMBERS:	
WEBSITE ADDRESS:	

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SECTION 2:

AMC NATIONAL REGISTRY ELIGIBILITY FOR JULY 1, 2019, THROUGH JUNE 30, 2020

1. DOES APPLICANT MEET THE FEDERAL DEFINITION OF AN AMC AS DEFINED IN TITLE XI AND THE AMC FINAL RULE (80 FR 32658, JUNE 9, 2015) DURING THE PERIOD OF APRIL 1, 2018, THROUGH MARCH 31, 2019?

***FOR INFORMATION REGARDING THE FEDERAL DEFINITION OF AN AMC, PLEASE SEE FEDERAL LAWS AND REGULATIONS ON PAGE 1.**

A. DID THE AMC OVERSEE AN APPRAISER PANEL OF MORE THAN 15 PENNSYLVANIA STATE CERTIFIED REAL ESTATE APPRAISERS DURING THE PERIOD OF APRIL 1, 2018, THROUGH MARCH 31, 2019?

B. DID THE AMC OVERSEE AN APPRAISER PANEL OF 25 OR MORE STATE CERTIFIED OR STATE LICENSED APPRAISERS IN TWO OR MORE STATES DURING THE PERIOD OF APRIL 1, 2018, THROUGH MARCH 31, 2019?

2. DURING THE PERIOD OF APRIL 1, 2018, THROUGH MARCH 31, 2019, WAS THE AMC A FEDERALLY REGULATED AMC (EXEMPT COMPANY) PURSUANT TO 12 U.S.C. § 3353(C)?

A. IS THE EXEMPT COMPANY OWNED IN WHOLE OR IN PART, DIRECTLY OR INDIRECTLY, BY ANY PERSON WHO HAS HAD AN APPRAISER LICENSE OR CERTIFICATE REFUSED, DENIED, CANCELLED, SURRENDERED IN LIEU OF REVOCATION, OR REVOKED IN ANY STATE?

B. LIST THE FEDERAL AGENCY THAT REGULATES THE EXEMPT COMPANY:

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- If applicant answered yes to question 1, proceed to question 2A.
- If applicant answered no to question 1, the AMC is ineligible for the AMC National Registry for the period of July 1, 2019, through June 30, 2020, and does not pay a AMC National Registry fee for the period of July 1, 2019, through June 30, 2020. Indicate “0” in Section 3, and proceed to Section 4.

SECTION 2A:

AMC NATIONAL REGISTRY FEE — AMCS IN EXISTENCE FOR MORE THAN A YEAR

1. AS OF MARCH 31, 2019, WAS THE AMC IN EXISTENCE FOR MORE THAN ONE YEAR?

2. HOW MANY STATE CERTIFIED REAL ESTATE APPRAISERS PERFORMED AN APPRAISAL FOR THE AMC IN CONNECTION WITH A COVERED TRANSACTION IN PENNSYLVANIA DURING THE PERIOD OF APRIL 1, 2018, THROUGH MARCH 31, 2019?

**FOR DEFINITIONS OF COVERED TRANSACTIONS AND PERFORMANCE OF AN APPRAISAL, SEE THE FEDERAL LAWS AND REGULATIONS ON PAGE 1.*

3. CALCULATION OF AMC NATIONAL REGISTRY FEE FOR THE PERIOD OF JULY 1, 2019, THROUGH JUNE 30, 2020:

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SECTION 2B:

AMC NATIONAL REGISTRY FEE — AMCS IN EXISTENCE FOR LESS THAN A YEAR

<p>1. AS OF MARCH 31, 2019, WAS THE AMC IN EXISTENCE FOR LESS THAN ONE YEAR?</p>	
<p>2. HOW MANY STATE CERTIFIED REAL ESTATE APPRAISERS PERFORMED AN APPRAISAL FOR THE AMC IN CONNECTION WITH A COVERED TRANSACTION IN PENNSYLVANIA SINCE THE AMC COMMENCED DOING BUSINESS?</p> <p><i>*FOR DEFINITIONS OF COVERED TRANSACTIONS AND PERFORMANCE OF AN APPRAISAL, SEE THE FEDERAL LAWS AND REGULATIONS ON PAGE 1.</i></p>	
<p>3. CALCULATION OF AMC NATIONAL REGISTRY FEE FOR THE PERIOD OF JULY 1, 2019, THROUGH JUNE 30, 2020:</p>	

SECTION 3: PAYMENT

<p>AMC National Registry Fee - \$ _____</p>	<p>To process your AMC National Registry Application payment online, click on www.mylicense.pa.gov. You will need a valid credit card (VISA, Mastercard, Discover, or American Express). Follow all instructions, and you will have immediate confirmation that your registry is being processed. For paper applications, checks must be payable to "Commonwealth of Pennsylvania". If applicable, write your registration number on the check. For exempt companies, write the name of your entity on the check. A \$20.00 fee will be charged for payment returned by the bank.</p>
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SECTION 4: CERTIFICATION STATEMENT

BY SIGNING BELOW, I VERIFY THAT THIS FORM IS IN THE ORIGINAL FORMAT AS SUPPLIED BY THE DEPARTMENT OF STATE AND HAS NOT BEEN ALTERED OR OTHERWISE MODIFIED IN ANY WAY. I AM AWARE OF THE CRIMINAL PENALTIES FOR TAMPERING WITH PUBLIC RECORDS OR INFORMATION PURSUANT TO 18 Pa. C.S. § 4911.

ADDITIONALLY, I VERIFY THAT THE STATEMENTS IN THIS APPLICATION ARE TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE, INFORMATION, AND BELIEF. I UNDERSTAND THAT ANY FALSE STATEMENT MADE IS SUBJECT TO THE PENALTIES OF 18 Pa. C.S. § 4904 RELATING TO UNSWORN FALSIFICATION TO AUTHORITIES AND MAY RESULT IN THE DENIAL, SUSPENSION, OR REVOCATION OF THE REGISTRATION OF THE APPRAISAL MANAGEMENT COMPANY OR AUTHORIZATION TO ACT AS A COMPLIANCE PERSON.

COMPLIANCE PERSON SIGNATURE _____ DATE _____