

STATE BOARD OF AUCTIONEER EXAMINERS

MAILING ADDRESS
STATE BOARD OF
AUCTIONEER EXAMINERS
P. O. BOX 2649
HARRISBURG, PA 17105

COURIER ADDRESS
STATE BOARD OF
AUCTIONEER EXAMINERS
2601 NORTH THIRD STREET
HARRISBURG, PA 17110

PHONE: 717-783-3397
EMAIL: st-auctioneer@pa.gov
WEB: www.dos.pa.gov/auct

APPLICATION FOR APPRENTICE AUCTIONEER

Application Fee: \$30.00 NON-REFUNDABLE APPLICATION FEE. CHECK OR MONEY ORDER MADE PAYABLE TO THE "COMMONWEALTH OF PENNSYLVANIA." (\$20.00 CHARGE FOR ALL CHECKS RETURNED "NOT PAID" REGARDLESS OF THE REASON FOR NON-PAYMENT).

BLACK INK ONLY

Page 1- APPRENTICE AUCTIONEER APPLICANT - MUST be completed in its entirety.

- Applicant Name.
- Mailing Address.
- Social Security Number.
- Date of Birth.
- Name of Sponsor and Sponsor License Number.
- Daytime Telephone Number.
- Email Address.

IF YOU ARE A MINOR YOU MUST SUBMIT A COPY OF YOUR WORK PERMIT.

Page 2- Questions 1-9 MUST be completed.

Page 3- Verification Statement MUST be signed and dated.

Page 4- APPLICATION FOR SPONSOR – MUST be completed in its entirety.

- Apprentice Auctioneer Name.
- Sponsor Name.
- Sponsor License Number.
- Sponsor Address.
- Daytime Telephone Number.
- Bond Number - PROVIDNG AN INCORRECT BOND NUMBER WILL DELAY THE PROCESSING OF THE APPRENTICE AUCTIONEER LICENSE APPLICATION.
- Sponsor Email Address.
- Sponsor Agreement and Verification Statement – MUST be signed and dated.

REQUIRED BOND INFORMATION:

- The bonding company **MUST** be licensed by the Pennsylvania Insurance Department to operate in the Commonwealth of Pennsylvania.
- Bond must be in the amount of \$5,000 payable to the Commonwealth of Pennsylvania.
- Bond must indicate that it is for an “Apprentice Auctioneer.”
- The bond form must be signed and witnessed.
- The bonding company **MUST** sign the bond and imprint their seal in the appropriate area.
- A certified copy of the Power of Attorney **MUST** be attached to the bond.
- Bond submitted **MUST** be the original document with original signatures.

The Consent of Nonresident Licensee MUST be completed (IF APPLICABLE).

PLEASE NOTE: ALL ITEMS LISTED ABOVE MUST BE COMPLETED IN ORDER TO PROCESS THE APPLICATION. IF THE APPLICATION IS NOT COMPLETED IN ITS ENTIRETY OR THE REQUIRED ATTACHMENTS ARE NOT PROVIDED, PROCESSING TIME WILL BE DELAYED.

IF A PENDING APPLICATION IS OLDER THAN ONE YEAR FROM THE DATE SUBMITTED AND THE APPLICANT WISHES TO CONTINUE THE APPLICATION PROCESS, THE BOARD SHALL REQUIRE THE APPLICANT TO SUBMIT A NEW APPLICATION INCLUDING THE REQUIRED FEE.

IN ORDER TO COMPLETE THE APPLICATION PROCESS, MANY OF THE SUPPORTING DOCUMENTS ASSOCIATED WITH THE APPLICATION CANNOT BE MORE THAN SIX MONTHS FROM THE DATE OF ISSUANCE.

IF THE APPRENTICE AUCTIONEER CHANGES SPONSORS, THE APPRENTICE AUCTIONEER SHALL NOTIFY THE BOARD BY LETTER WITHIN 10 DAYS AFTER TERMINATING THE SPONSORSHIP AND SUBMIT AN APPLICATION TO CHANGE SPONSOR WHEN A NEW SPONSOR IS OBTAINED.

AN APPRENTICE AUCTIONEER LICENSE IS INVALID WHEN THERE IS NO SPONSORING AUCTIONEER AND CREDIT FOR APPRENTICE AUCTIONEERING DOES NOT ACCRUE DURING THAT TIME PERIOD.

STATE BOARD OF AUCTIONEER EXAMINERS

MAILING ADDRESS
STATE BOARD OF
AUCTIONEER EXAMINERS
P. O. BOX 2649
HARRISBURG, PA 17105

COURIER ADDRESS
STATE BOARD OF
AUCTIONEER EXAMINERS
2601 NORTH THIRD STREET
HARRISBURG, PA 17110

PHONE: 717-783-3397
FAX: 717-705-5540
EMAIL: st-auctioneer@pa.gov
WEB: www.dos.pa.gov/auct

APPLICATION FOR APPRENTICE AUCTIONEER LICENSE

FEE: \$30.00 NON-REFUNDABLE APPLICATION FEE. CHECK OR MONEY ORDER MADE PAYABLE TO THE "COMMONWEALTH OF PENNSYLVANIA." (\$20.00 CHARGE FOR ALL CHECKS RETURNED "NOT PAID" REGARDLESS OF THE REASON FOR NON-PAYMENT).

AN APPRENTICE AUCTIONEER IS A PERSON WHO IS LICENSED UNDER THE ACT AS AN APPRENTICE FOR THE PURPOSE OF LEARNING THE PROFESSION OF AUCTIONEERING, AND WHO IS SPONSORED AND EMPLOYED FOR COMPENSATION BY A QUALIFIED AUCTIONEER.

A NONRESIDENT (OR RECIPROCAL) AUCTIONEER IS NOT A QUALIFIED AUCTIONEER FOR THE PURPOSE OF SPONSORING OR EMPLOYING AN APPRENTICE AUCTIONEER.

BLACK INK ONLY-PLEASE PRINT

APPRENTICE AUCTIONEER APPLICANT- COMPLETE THIS SECTION IN ITS ENTIRETY:

NAME: _____
Last First Middle

MAILING ADDRESS: _____
Street Address P.O. Box (if applicable)

City State Zip Code

SOCIAL SECURITY NUMBER: _____ DATE OF BIRTH: _____

NAME OF SPONSOR: _____ SPONSOR LICENSE NUMBER: _____

DAYTIME TELEPHONE NUMBER: _____

EMAIL ADDRESS: _____

Would you like us to communicate with you regarding this application via e-mail? Yes No

IF YOU ARE A MINOR YOU MUST SUBMIT A COPY OF YOUR WORK PERMIT.

If you answered "yes" to any criminal or disciplinary question, provide a full written explanation AND a certified copy of any and all relevant Board, court and/or legal documents, including the criminal complaint, charging documents, documentation of the final disposition and sentence imposed, as well as documentation of your successful completion of any and all of the sentencing requirements that may have been imposed.

THE FOLLOWING QUESTIONS MUST BE ANSWERED:	YES	NO
1. Do you hold, or have you ever held, a license, certificate, permit, registration or other authorization to practice a profession or occupation in any state or jurisdiction?		
2. If you answered yes to the above question, please provide the profession and state or jurisdiction.		
3. Have you had disciplinary action taken against a professional or occupational license, certificate, permit, registration or other authorization to practice a profession or occupation issued to you in any state or jurisdiction or have you agreed to voluntary surrender in lieu of discipline?		
4. Do you currently have any disciplinary charges pending against your professional or occupational license, certificate, permit or registration in any state or jurisdiction?		
5. Have you withdrawn an application for a professional or occupational license, certificate, permit or registration, had an application denied or refused, or for disciplinary reasons agreed not to apply or reapply for a professional or occupational license, certificate, permit or registration in any state or jurisdiction?		
6. Have you been convicted (found guilty, pled guilty or pled nolo contendere), received probation without verdict or accelerated rehabilitative disposition (ARD), as to any criminal charges, felon or misdemeanor, including any drug law violations? Note: you are not required to disclose any ARD or other criminal matter that has been expunged by order of the court.		
7. Do you currently have any criminal charges pending and unresolved in any state or jurisdiction?		
8. Within five years prior to the issuance of the license in force, have you been convicted in a court of competent jurisdiction in this or any other state or in federal court of forgery, embezzlement, obtaining money under false pretenses, extortion, conspiracy to defraud or other like offenses, or at any time, have you been convicted in a court of competent jurisdiction in this or any other state or in federal court of a misdemeanor related to the profession of auctioneering or of any felony?		
9. Are you conducting sales via an online Internet bidding platform?		

APPLICANTS FOR APPRENTICE AUCTIONEER ARE REQUIRED TO BE SPONSORED AND EMPLOYED FOR COMPENSATION BY A QUALIFIED AUCTIONEER WHO EMPLOYS NO MORE THAN ONE OTHER APPRENTICE AUCTIONEER.

VERIFICATION STATEMENT:

I verify that this application is in the original format as supplied by the Department of State and has not been altered or otherwise modified in any way. I am aware of the criminal penalties for tampering with public records or information under 18 Pa.C.S. § 4911.

I verify that the statements in this application are true and correct to the best of my knowledge, information and belief. I understand that false statements are made subject to the penalties of 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities) and may result in the suspension, revocation or denial of my license, certificate, permit or registration.

Signature

Date

In order to comply with federal law, the State Board of is obligated to inform each applicant or licensee from whom it requests a social security number that disclosing such number is **mandatory** in order for this Board to comply with the requirements of the federal Social Security Act pertaining to Child Support Enforcement, as implemented in the Commonwealth of Pennsylvania at 23 Pa. C.S. §4304.1(a). In order to enforce domestic support orders, at the request of the Commonwealth's Department of Human Services (DHS), the licensing boards must provide to DHS information prescribed by DHS about the licensee, including the social security number.

APPLICATION FOR SPONSOR

APPRENTICE AUCTIONEER NAME: _____

SPONSOR NAME: _____	
SPONSOR LICENSE NUMBER: _____	
SPONSOR ADDRESS:	_____
CITY, STATE, ZIP CODE:	_____
DAYTIME TELEPHONE NUMBER:	_____
BOND NUMBER: _____	
PROVIDING AN INCORRECT BOND NUMBER WILL DELAY THE PROCESSING OF THE APPRENTICE AUCTIONEER LICENSE APPLICATION.	
SPONSOR EMAIL ADDRESS:	_____
May we contact you about your application via the e-mail address provided? Yes <input type="checkbox"/> No <input type="checkbox"/>	

SPONSOR AGREEMENT:

I agree to notify the Board within 10 days of a change in status of the apprentice that would affect the ability of the apprentice to complete the apprenticeship as required by §1.22 (e) of the State Board of Auctioneer Examiners rules and regulations.

I have read, understand and agree to be bound by the requirements of §1.31 of the State Board of Auctioneer Examiners rules and regulations, entitled "*Sponsorship and employment of apprentice auctioneers*".

Pursuant to §1.21 of the State Board of Auctioneer Examiners rules and regulations, I will sponsor and employ for compensation the apprentice listed above and I will not sponsor and employ more than one other apprentice auctioneer.

VERIFICATION STATEMENT:

I verify that this application is in the original format as supplied by the Department of State and has not been altered or otherwise modified in any way. I am aware of the criminal penalties for tampering with public records or information under 18 Pa.C.S. § 4911.

I verify that the statements in this application are true and correct to the best of my knowledge, information and belief. I understand that false statements are made subject to the penalties of 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities) and may result in the suspension, revocation or denial of my license, certificate, permit or registration.

Signature of Sponsor_____
Date

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
STATE BOARD OF AUCTIONEER EXAMINERS
P. O. BOX 2649
HARRISBURG, PENNSYLVANIA 17105-2649
717-783-3397

BOND # _____

BOND

KNOW ALL PERSONS BY THESE PRESENTS, that the application for _____
Auctioneer/Apprentice Auctioneer/Trading Assistant/Auction
Company/Auction House

_____ a/an _____, with business located at
Name of Applicant Individual/Partnership/Corporation/Limited Liability Company

Street

City State Zip Code

as PRINCIPAL, and _____ with a Certificate of Authority from the
Name of Surety Company

Pennsylvania Insurance Department and located at _____
Street

City State Zip Code

as SURETY, are held firmly bound unto the Commonwealth of Pennsylvania in the sum of \$5,000 (Five Thousand Dollars)
lawful money of the United States of America, to be made payable to the said Commonwealth, for the use of the
Commonwealth, its attorney or assigns, or any person or persons who may have a cause of action under the Auctioneer
Licensing and Trading Assistant Registration Act (Act 85 of December 22, 1983), to which payment will and truly to be
made, we do hereby bind ourselves, jointly and severally our heirs, executors, administrators, successors and assigns
firmly by these presents. This agreement will continue in effect until written notification of cancellation by surety.

SIGNED SEALED AND DELIVERED THIS _____ DAY OF _____ 20 _____

WHEREAS, the above-bounded Principal desires to operate or conduct the business of

Auctioneer/Apprentice Auctioneer/Trading Assistant/Auction Company/Auction House

within the Commonwealth in accordance with the provisions of the Auctioneer Licensing and Trading Assistant
Registration Act and the rules and regulations adopted under and pursuant thereto.

NOW, THEREFORE, the condition of this obligation is such that if upon and after issuance of such license the
above-bounded Principal shall fully and faithfully observe the provisions of all the laws of this Commonwealth and the rules
and regulations promulgated by the State Board of Auctioneer Examiners as a licensee then this obligation shall be void;
otherwise, it shall remain in full force, virtue and effect.

And, the obligors, jointly and severally, for themselves, their heirs, executors, administrators, successors and assigns, do
agree with the Commonwealth that upon violation of the said Act, and the rules and regulations promulgated by the State
Board of Auctioneer Examiners, or the applicable rules and regulations of the Department, Board or Commission of the
Commonwealth thereunder or of any laws of this Commonwealth, and upon the revocation of the licensure and upon
forfeiture of the bond, aforesaid, or upon the recovery of a judgment and in execution of that judgment on the bond, as
specified at §13(c) of the Act, for any such violation during the continuance of such license, the full amount of this bond
shall be due and payable.

And we, and each of us, do hereby confess judgment against us and each of us for the penal sum of \$5,000 (Five
Thousand Dollars), with cost of suit and release of all errors, without stay of execution, waiving inquisition and
condemnation of any real estate, and we, and each of us, do hereby waive the benefit of any law or laws now in force, or
which may hereafter become a force exempting property from levy and sale upon execution, and we do hereby empower
any attorney, or the Prothonotary, of any Court of Record within this Commonwealth or elsewhere, to appear for us and
each of us, to confess judgment as expressed, and for the entering of such judgment and so doing this shall be sufficient
warrant; a copy of bond being filed in said action, it shall be necessary to file the original as a warrant, any law or rule of
Court notwithstanding.

SIGNATURE OF APPLICANT

SIGNATURE OF WITNESS

PRINT NAME OF WITNESS

SURETY:

NAME OF SURETY COMPANY

SIGNATURE OF SURETY

STREET ADDRESS OF ATTORNEY- IN- FACT

(BUSINESS SEAL)

CITY STATE ZIP CODE

CERTIFIED COPY OF POWER OF ATTORNEY NOMINATING, CONSTITUTING AND APPOINTING SAID ATTORNEY-
IN-FACT FOR SAID CORPORATE SURETY MUST BE ATTACHED HERETO. DATE OF SAID CERTIFICATION AND
DATE OF EXECUTION OF BOND MUST AGREE.

CONSENT OF NONRESIDENT LICENSEE

Whereas, the Auctioneer Licensing and Trading Assistant Registration Act in the Commonwealth of Pennsylvania requires that a nonresident licensee of the State Board of Auctioneer Examiners files a consent that suits and other legal actions may be commenced against a licensee in the proper court of any county of the Commonwealth in which a cause of action may arise or in which a plaintiff may reside.

Now witnesseth, that the undersigned _____
Name of Applicant
of _____
Street

City State Zip Code

an _____, hereby consents to the commencement of suits and other legal actions
Auctioneer/Apprentice Auctioneer/Trading Assistant/Auction Company/Auction House

against the licensee in and county of the Commonwealth in which a cause of action may arise or in which a plaintiff may reside by service of any process of pleading authorized by the laws of the Commonwealth or the Secretary of the Commonwealth of Pennsylvania; and

That the undersigned stipulates and agrees that service of process or pleading as aforesaid on the Secretary of the Commonwealth, shall be taken and held in all courts to be as valid and binding as if due service had been made upon the undersigned within the Commonwealth of Pennsylvania; and

That the undersigned intends that this consent shall be and hereby is irrevocable.

State of _____ County of _____

SWORN TO AND SUBSCRIBED BEFORE ME THIS _____ DAY OF _____ 20__

MY COMMISSION EXPIRES: _____

Signature of Notary

Signature of Applicant