

COPY

**COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BEFORE THE SECRETARY OF THE COMMONWEALTH**

Department of State

2019 MAR 13 PM 2:03

PROTHONOTARY

**Commonwealth of Pennsylvania,
Bureau of Corporations and
Charitable Organizations**

v.

File No.: 18-98-05183

**HP Direct Mail Marketing Services,
LLP, F/K/A, Wally Hines Photography,**

Respondent

CONSENT AGREEMENT AND ORDER

The Commonwealth of Pennsylvania, Bureau of Corporations and Charitable Organizations ("Bureau"), by and through its undersigned prosecuting attorney, Michael J. Gennett and HP Direct Mail Marketing Services, LLP, F/K/A, Wally Hines Photography ("Respondent"), stipulate as follows in settlement of the above-captioned matter:

JURISDICTION

1. This matter is before the Acting Secretary of the Commonwealth ("Secretary") pursuant to the Solicitation of Funds for Charitable Purposes Act, Act of December 19, 1990, P.L. 1200, No. 202, *as amended* ("Act"), 10 P.S. §§ 162.1 *et. seq.*

2. At all relevant and material times, Respondent acted as a professional solicitor as defined by the Act.

STIPULATED FACTS

3. Respondent's last known address on file with the Bureau is 2239 Woodcrest Drive, Johnstown, PA 15905.

4. Respondent is now registered as a professional solicitor (PS) in Pennsylvania and holds certification no.: 112736.

5. Respondent admits that the following allegations are true:

a. Respondent performed PS services during the years of 2015, 2016, 2017 and 2018 on behalf of twenty-four (24) different charitable organizations soliciting contributions in Pennsylvania while not being properly registered; and

b. Respondent performed PS services during the years of 2015, 2016, 2017 and 2018 on behalf of twenty-four (24) different charitable organizations soliciting contributions in Pennsylvania without submitting a contract and obtaining BCCO approval at least ten (10) working days prior to commencing PFC services on behalf of the charitable organizations.

AGREED VIOLATIONS

6. Respondent admits and agrees that by engaging in the aforementioned activities, Respondent committed violations of the Act as follows:

a. Section 162.15(a)(1), by and through Section 162.8(a), Registration of Professional fundraising counsel and contracts, in that Respondent performed PS services during the years of 2015, 2016, 2017 and 2018 on behalf of twenty-four (24) different charitable organizations soliciting contributions in Pennsylvania while not being properly registered; and

b. Section 162.15(a)(1), by and through Section 162.8(d), Written contract, in that Respondent performed PS services during the years of 2015, 2016, 2017 and 2018 on behalf of twenty-four (24) different charitable organizations soliciting contributions in Pennsylvania without submitting a contract and obtaining Bureau of Corporations and Charitable Organization (BCCO) approval at least ten (10) working days prior to commencing PFC services on behalf of the charitable organizations.

PROPOSED ORDER

7. The participants, intending to be legally bound, consent to issuance of the following Order in settlement of this matter:

a. Respondent violated Section 15(a)(1) of the Act, 10 P.S. § 162.15(a)(1), by and through 10 P.S. § 162.8(a), in that Respondent performed PS services during the years of 2015, 2016, 2017 and 2018 on behalf of twenty-four (24) different charitable organizations soliciting contributions in Pennsylvania while not being properly registered; and

b. Respondent violated Section 15(a)(1) of the Act, 10 P.S. § 162.15(a)(1), by and through 10 P.S. § 162.8(d), in that Respondent performed PS services during the years of 2015, 2016, 2017 and 2018 on behalf of twenty-four (24) different charitable organizations soliciting contributions in Pennsylvania without submitting a contract and obtaining BCCO approval at least ten (10) working days prior to commencing PFC services on behalf of the charitable organizations.

ADMINISTRATIVE PENALTIES

8. An **ADMINISTRATIVE FINE** of Eighteen Thousand Dollars (\$18,000.00) is levied upon Respondent. Respondent shall tender the full sum of Eighteen Thousand Dollars (\$18,000.00), with this executed Consent Agreement.

a. Payment shall be by **certified check, cashier's check, attorney's check, or U.S. Postal money order.**

b. The instrument of payment shall be **made payable to the "Commonwealth of Pennsylvania,"** and shall be valid for a period of at least one hundred eighty (180) days.

c. Respondent agrees that payment will be made by one of the methods indicated in subparagraph (a) above and that payment by uncertified personal check, corporate check or cash will not be accepted.

CASE SETTLED AND DISCONTINUED

9. This case shall be deemed settled and discontinued upon the Secretary issuing an Order adopting this Consent Agreement and the Respondent's successful completion of the requirements of this Order. However, nothing in this Consent Agreement and Order shall preclude the Prosecuting Attorney for the Bureau from filing charges or the Secretary from imposing disciplinary or corrective measures for violations or facts not contained in this Consent Agreement and Order.

ACKNOWLEDGEMENT OF NOTICE AND WAIVER OF HEARING

10. Respondent waives the filing of an Order to Show Cause in this matter. Respondent knowingly and voluntarily waives the right to an administrative hearing in this matter, and to the following rights related to the hearing: to be represented by counsel at the hearing; to present witnesses and testimony in defense or in mitigation of any sanction that may be imposed for a violation; to cross-examine witnesses and to challenge evidence presented by the Bureau; to present legal arguments by means of a brief; and to take an appeal from any final adverse decision.

AGREEMENT NOT BINDING ON OTHER PARTIES

11. This Consent Agreement is between the Bureau and Respondent only. It does not bind any other administrative or governmental entity of the Commonwealth of Pennsylvania, including any other bureau within the Department of State. Except as otherwise noted, this Agreement is to have no legal effect if (a) the Office of General Counsel expresses an objection to the Agreement's form or legality and/or (b) unless and until the Secretary issues the stipulated Order.

EFFECT OF SECRETARY'S REJECTION

12. Should the Secretary not approve this Consent Agreement, presentation to and consideration of this Consent Agreement and other documents and matters by the Secretary shall not prejudice the Secretary from further participation in the adjudication of this matter. This paragraph is binding on the participants even if the Secretary does not approve this Consent Agreement.

ENTIRE AGREEMENT


13. This Agreement contains the whole agreement between the parties; provided, however, that the captions printed in the various provisions of this agreement are for ease of reading only and are not to be interpreted as forming any part of this agreement. There are no other terms, obligations, covenants, representations, statements or conditions, or otherwise, of any kind whatsoever, concerning this Agreement.

AGREEMENT DOES NOT PREVENT REFERRAL TO OTHER AGENCIES

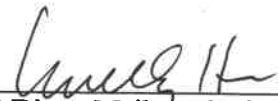
14. The parties acknowledge that other federal, state, and/or local agencies may have jurisdiction over the activities of, or representations made by, Respondent and its officers, directors, agents, employees or independent contractors. Nothing in this Consent Agreement or the Order based upon this Consent Agreement shall preclude representatives of the Bureau from referring any information or data produced as a result of this matter to any federal, state, or local agency or governmental unit having jurisdiction over the activities of Respondent or any officer, director, agent, employee or independent contractor of the Respondent.

VERIFICATION OF FACTS AND STATEMENTS

15. Respondent verifies that the facts and statements set forth in this Agreement are true and correct to the best of Respondent's knowledge, information and belief. Respondent understands that statements in this Agreement are made subject to the criminal penalties of 18 Pa.C.S. § 4904 relating to unsworn falsification to authorities.



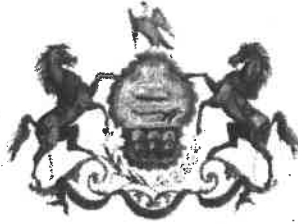
Michael J. Gerhardt
Prosecuting Attorney
Department of State



HP Direct Mail Marketing Services, LLP
F/K/A Wally Hines Photography
Respondent
By: Wally Hines
Title: President

DATED: 8 MARCH 2019

DATED: 3/1/19



IN THE MATTER OF

**HP DIRECT MAIL MARKETING SERVICES, LLP,
F/K/A WALLY HINES PHOTOGRAPHY
File No.: 18-98-05183**

ORDER

AND NOW, this 13th day of March 2019, the foregoing Consent Agreement is hereby approved, and the terms set forth therein are hereby adopted and incorporated herein as the Order of the Secretary of the Commonwealth, now issued in resolution of this matter.

THIS ORDER shall take effect immediately.

BY ORDER:

Handwritten signature of Kathy Boockvar in cursive script.

Kathy Boockvar
Acting Secretary of the Commonwealth

For the Commonwealth:

Michael J. Gennett, Esquire
306 North Office Building
401 North Street
Harrisburg, PA 17120

For Respondent:

Michael A. Carbonara, Esquire
Raptosh, Lehmier & Carbonara
227 Franklin Street, Suite 310
Johnstown, PA 15901