

**COPY**

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**COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF STATE  
BEFORE THE SECRETARY OF THE COMMONWEALTH**

Department of State  
Prothonotary

**Commonwealth of Pennsylvania  
Bureau of Professional and  
Occupational Affairs**

v.

**Case No.: 22-98-008732**

**Philadelphia Research & Education  
Foundation,  
Respondent**

**CONSENT AGREEMENT AND ORDER**

The Commonwealth of Pennsylvania, Bureau of Corporations and Charitable Organizations (“Bureau”), by and through its undersigned prosecuting attorney, Trista M. Boyd, and **Philadelphia Research & Education Foundation**, (“Respondent”), stipulate as follows in settlement of the above-captioned matter:

**JURISDICTION**

1. This matter is before the Secretary of the Commonwealth (“Secretary”) pursuant to the Solicitation of Funds for Charitable Purposes Act, Act of December 19, 1990, P.L. 1200, No. 202, *as amended* (“Act”), 10 P.S. §§ 162.1 *et. seq.*

2. At all relevant and material times, Respondent acted as a charitable organization as defined by the Act.

**STIPULATED FACTS**

3. Respondent’s address on file with the Bureau is: PVAMC (151), 3900 Woodland Ave., Philadelphia, PA 19104-4155.

4. Respondent operated as an unregistered charitable organization in Pennsylvania from May 16, 2019 through May 15, 2020, May 16, 2021 through and May 15, 2022, and May 16, 2023 through September 7, 2023.

5. During the unregistered periods noted above, Respondent engaged in charitable activities in Pennsylvania, even though Respondent was not exempt from registration under 10 P.S. § 162.6(a)(8) since Respondent received contributions in excess of \$25,000.00 per annum.

6. Respondent was exempt from registration from May 16, 2020 through May 15, 2021 and May 16, 2022 through May 15, 2023, because Respondent did not receive contributions in excess of \$25,000 for those annual periods.

7. Respondent is currently registered as a charitable organization with the Bureau and has been issued Certificate of Registration No.: 29316.

**AGREED VIOLATIONS**

7. Respondent admits and agrees that by engaging in the aforementioned conduct, Respondent committed violations of the Act as follows:

- a. Violating Section 15(a)(1) of the Act, 10 P.S. § 162.15(a)(1), by and through 10 P.S. § 162.5(a), by soliciting contributions without the approved registration filed with the Bureau.

**PROPOSED ORDER**

8. The parties, intending to be legally bound, consent to issuance of the following Order in settlement of this matter:

- a. Violating Section 15(a)(1) of the Act, 10 P.S. § 162.15(a)(1), by and through 10 P.S. § 162.5(a), by soliciting contributions without the approved registration filed with the Bureau.

**ADMINISTRATIVE PENALTIES**

b. An **ADMINISTRATIVE FINE** of **Three Thousand, One Hundred Dollars (\$3,100.00)** is levied upon Respondent. Respondent shall tender the full sum of **Three Thousand, One Hundred Dollars (\$3,100.00)**, with this executed Consent Agreement. Payment shall be by certified check, cashier's check, attorney's check, or U.S. Postal money order. The instrument of payment shall be made payable to the "Commonwealth of Pennsylvania," and shall be valid for a period of at least one hundred eighty (180) days. Respondent agrees that payment will be made by one of the methods indicated in herein and that payment by uncertified personal check, corporate check or cash will not be accepted.

**CASE SETTLED AND DISCONTINUED**

10. This case shall be deemed settled and discontinued upon the Secretary issuing an Order adopting this Consent Agreement and the Respondent's successful completion of the requirements of this Order. However, nothing in this Consent Agreement and Order shall preclude the Prosecuting Attorney for the Bureau from filing charges or the Secretary from imposing disciplinary or corrective measures for violations or facts not contained in this Consent Agreement and Order.

**ACKNOWLEDGEMENT OF NOTICE AND WAIVER OF HEARING**

11. Respondent waives the filing of an Order to Show Cause in this matter. Respondent knowingly and voluntarily waives the right to an administrative hearing in this matter, and to the following rights related to the hearing: to be represented by counsel at the hearing; to present witnesses and testimony in defense or in mitigation of any sanction that may be imposed for a

violation; to cross-examine witnesses and to challenge evidence presented by the Bureau; to present legal arguments by means of a brief; and to take an appeal from any final adverse decision.

**AGREEMENT NOT BINDING ON OTHER PARTIES**

12. This Consent Agreement is between the Bureau and Respondent only. It does not bind any other administrative or governmental entity of the Commonwealth of Pennsylvania, including any other bureau within the Department of State. Except as otherwise noted, this Agreement is to have no legal effect if (a) the Office of General Counsel expresses an objection to the Agreement's form or legality and/or (b) unless and until the Secretary issues an Order approving and adopting this Consent Agreement.

**EFFECT OF SECRETARY'S REJECTION**

13. Should the Secretary not approve this Consent Agreement, presentation to and consideration of this Consent Agreement and other documents and matters by the Secretary shall not prejudice the Secretary from further participation in the adjudication of this matter. This paragraph is binding on the participants even if the Secretary does not approve this Consent Agreement.

**ENTIRE AGREEMENT**


14. This Agreement contains the whole agreement between the parties; provided, however, that the captions printed in the various provisions of this agreement are for ease of reading only and are not to be interpreted as forming any part of this agreement. There are no other terms, obligations, covenants, representations, statements or conditions, or otherwise, of any kind whatsoever, concerning this Agreement.



**AGREEMENT DOES NOT PREVENT REFERRAL TO OTHER AGENCIES**

15. The parties acknowledge that other federal, state, and/or local agencies may have jurisdiction over the activities of, or representations made by, Respondent and its officers, directors, agents, employees, or independent contractors. Nothing in this Consent Agreement or the Order based upon this Consent Agreement shall preclude representatives of the Bureau from referring any information or data produced as a result of this matter to any federal, state, or local agency or governmental unit having jurisdiction over the activities of Respondent or any officer, director, agent, employee or independent contractor of the Respondent.

**VERIFICATION OF FACTS AND STATEMENTS**

16. Respondent verifies that the facts and statements set forth in this Agreement are true and correct to the best of Respondent's knowledge, information, and belief. Respondent understands that statements in this Agreement are made subject to the criminal penalties of 18 Pa.C.S. § 4904 relating to unsworn falsification to authorities.

  
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**Trista M. Boyd**  
**Prosecuting Attorney**  
**Department of State**  
**Commonwealth of Pennsylvania**

  
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**Philadelphia Research & Education**  
**Foundation**  
**Respondent**  
  
By:  Awendolyn Cham  
**Print**  
  
Title: Executive Director  
**Print**

**DATE: 10/24/2023**

**DATE: 9/28/23**



**IN THE MATTER OF**

**Philadelphia Research & Education Foundation**

**Case No.: 22-98-008732**

**ORDER**

**AND NOW**, this 25<sup>th</sup> day of October 2023, the foregoing Consent Agreement is hereby approved, and the terms set forth herein are hereby adopted and incorporated herein as the Order of the Secretary of the Commonwealth, now issued in resolution of this matter.

**THIS ORDER** shall take effect immediately.

**BY ORDER:**

  
\_\_\_\_\_  
**Al Schmidt**  
**Secretary of the Commonwealth**

**For the Commonwealth:**

Trista M. Boyd, Esquire  
2601 North Third Street  
P. O. Box 69521  
Harrisburg, PA 17106-9521

**Respondent:**

Gwendolyn Cham, MBA  
Executive Director  
Philadelphia Research & Education Foundation  
PVAMC (151)  
3900 Woodland Ave.  
Philadelphia, PA 19104-4155