## State Real Estate Commission August 17, 2021

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## COMMISSION MEMBERS:

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Joseph Tarantino, Secretary, Industry Member Anne M. Rubin, Industry Member 11 Jeffrey J. Johnson, Industry Member - Absent

12 Jennifer Thomson, Esquire, Attorney General Designee 13 14

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Edward L. Seebeck, Cemetery Member Gaetano P. Piccirilli, Esquire, Public Member K. Kalonji Johnson, Commissioner, Bureau of Professional and Occupational Affairs COMMISSION PERSONNEL:

Juan A. Ruiz, Esquire, Commission Counsel Nicole Ehrhart, Esquire, Commission Counsel Ray Michalowski, Esquire, Senior Commission Prosecutor

Joseph J. McGettigan, Chair, Industry Member

Armand N. Ferrara Jr., Vice Chair, Industry Member

Caroline A. Bailey, Esquire, Commission Prosecutor Timothy Smith, Esquire, Commission Prosecutor Angela Solomon, Esquire, Commission Prosecutor Andrew LaFratte, MPA, Executive Policy Specialist, Department of State Krista Linsenbach, Commission Administrator

### ALSO PRESENT:

Jim Savard, Howard Hanna Wesley J. Rish, Esquire, Rish Law Office, LLC Erik Gutschmidt Lisa Fry, Century 21 Ross Stanard, Howard Hanna Jesse Tashlik, Real Estate Broker, Tashlik Real Estate Michael McGee, CAE, Chief Executive Officer, Pennsylvania Association of Realtors Dana Shawgo, Broker, Shawgo Real Estate Christopher G. Raad, President, Pennsylvania Association of Realtors

Henry (Hank) Lerner, Esquire, General Counsel/Director of Law & Policy, Pennsylvania Association of Realtors

# State Real Estate Commission August 17, 2021

ALSO PRESENT: (Continued)

Lisa Ginsburg, Director of Education, Bucks County
Real Estate Institute

Jeff Dempsey, Public Policy Manager, Pennsylvania Association of Realtors

Jesse Tashlik, Real Estate Broker, Tashlik Real Estate Jen Smeltz, Republican Executive Director, Senate Consumer Protection & Professional Licensure Committee

Stan Tashlik, Owner/Real Estate Broker, Tashlik Real Estate

Lisa Aaron, Professional Standards and Education Director, Pennsylvania Association of Realtors Sherri Martin, Public Policy and Political Affairs Director, Pennsylvania Association of Realtors Wayne C. Crawford, Esquire, Public Policy Manager, Pennsylvania Association of Realtors Brian Brillman, Director/Implementation Specialist,

Real Estate Institute at Temple University Jasmine Williams

26 Jamie Stanard

1 \*\*\*

2 State Real Estate Commission 3 August 17, 2021

\* \* \*

5 [Pursuant to Section 708(a)(5) of the Sunshine Act, at 9:00 a.m. the Commission entered into Executive 7 Session with Juan A. Ruiz, Esquire, Commission

8 Counsel, and Nicole Ehrhart, Esquire, Commission

9 Counsel, for the purpose of conducting quasi-judicial

10 deliberations on matters currently pending before the

11 Commission that are listed on the agenda. The

12 commission returned to open session at 10:50 a.m.]

13

14 | Meeting Instructions

15 [Krista Linsenbach, Commission Administrator, provided

16 instructions to be followed during the virtual

17 meeting.

4

Ms. Linsenbach also noted the meeting was being recorded, and those who continued to participate were giving their consent to be recorded.]

21 \*\*\*

The regularly scheduled meeting of the State Real Estate Commission was held on Tuesday, August 17, 24 2021. Joseph J. McGettigan, Chairman, Industry

25 Member, officially called the meeting to order at

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1
   11:00 a.m.
                               * * *
2
   Roll Call
3
   [Joseph J. McGettigan, Chairman, Industry Member,
   provided a roll call of commission members.]
                               * * *
6
   Introduction of Attendees
   [Krista Linsenbach, Commission Administrator, provided
   an introduction of those in attendance.]
                               * * *
10
11
   Pledge of Allegiance
12
   [The Pledge of Allegiance was recited.]
                               * * *
13
14
   Approval of minutes of the June 29, 2021 meeting
15
   CHAIR MCGETTIGAN:
16
                  I will entertain a motion to approve the
17
                  draft minutes of the June 29 meeting.
   MR. SEEBECK:
18
19
                  So moved.
20
   MS. RUBIN:
21
                  Second.
22
   CHAIR MCGETTIGAN:
2.3
                  Call for the vote. All in favor?
24
                  Against?
25
   [The motion carried unanimously.]
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\* \* \*

- 2 Report of Prosecutorial Division
- 3 | [Angela Solomon, Esquire, Commission Prosecutor,
- 4 presented the Consent Agreement for Case Nos. 19-56-
- 5 018348 & 20-56-001507.1

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- \*\*\*
- 7 | [Caroline A. Bailey, Esquire, Commission Prosecutor,
- 8 presented the Consent Agreements for Case No. 20-56-
- 9 006536 and Case Nos. 21-56-003653 & 21-56-004145.]
- 10 \*\*\*
- 11 [Timothy Smith, Esquire, Commission Prosecutor, on
- 12 behalf of, Timothy A. Fritsch, Esquire, Commission
- 13 Prosecution Liaison, presented the Consent Agreement
- 14 | for Case No. 19-56-000525.]
- 15
- 16 [Timothy Smith, Esquire, Commission Prosecutor, on
- 17 behalf of, Timothy A. Fritsch, Esquire, Commission
- 18 Prosecution Liaison, presented the Consent Agreement
- 19 for Case Nos. 19-56-010972 & 20-56-007896.
- 20 Wesley J. Rish, Esquire, Rish Law Office, LLC,
- 21 counsel for the respondent, was present and
- 22 participated in the discussion.]
- 23 \*\*\*
- 24 | Timothy Smith, Esquire, Commission Prosecutor, on
- 25 behalf of, Timothy A. Fritsch, Esquire, Commission

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Prosecution Liaison, presented the Consent Agreement
1
   for Case No. 20-56-006906.1
2
3
   [Mr. Michalowski informed the Commission that he
4
   provided a presentation for the State Board of Real
6
   Estate Appraisers. He mentioned Mr. Fritsch and Ms.
   Glasser are working on a full presentation for the
   State Board of Real Estate Commission and suggested
   placing it on the agenda as a separate appointment for
10
   the September 24 meeting, rather than prosecution
11
   time.
12
        Mr. Michalowski announced he would be providing a
13
   presentation with the Realtors Association of
14
   Metropolitan Pittsburgh (RAMP) sometime in September
15
   2021 regarding real estate issues.
16
17
   [Juan A. Ruiz, Esquire, Commission Counsel, questioned
18
   whether any of the Commission members wished to go
19
   back into Executive Session to discuss any of the
20
   consent agreements.]
2.1
                              * * *
22
   [Pursuant to Section 708(a)(5) of the Sunshine Act, at
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2.3 11:22 a.m. the Commission entered into Executive Session with Juan A. Ruiz, Esquire, Commission 2.4 25 Counsel, and Nicole Ehrhart, Esquire, Commission

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Counsel, for the purpose of conducting quasi-judicial
1
2
   deliberations on matters currently pending before the
3
   Commission that are listed on the agenda.
   Commission returned to open session at 11:25 a.m.]
                              * * *
5
6
   Report of Commission Counsel
   [Juan A. Ruiz, Esquire, Commission Counsel, noted the
   Commission was just in Executive Session conducting
   deliberations upon the consent agreements that were
10
   presented.
11
        Mr. Ruiz also noted the Commission was in
12
   Executive Session and conducted quasi-judicial
13
   deliberations on all of the matters currently listed
14
   on the agenda prior to the start of the meeting,
15
   including one proposed adjudication and order, seven
   final adjudications and orders, three motions to enter
16
17
   default, and an appeal to an Act 48 citation.]
   MR. RUIZ:
18
19
                  Item 20 on the agenda, the Motion to
2.0
                  Enter Default for David Soriano, Case
2.1
                  No. 19-56-004347, has been tabled and
22
                  will not be discussed at this meeting.
23
                              * * *
2.4
   MR. RUIZ:
25
                  Is there a motion to approve the Consent
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9
                  Agreement at Case Nos. 19-56-018348 &
1
2
                  20-56-001507?
3
   MR. SEEBECK:
                  So moved.
4
5
   MR. TARANTINO:
6
                  Second.
7
   CHAIR MCGETTIGAN:
                  Discussion? Call for the vote. All in
9
                  favor? Against?
10
   [The motion carried unanimously. The Respondent's
11
   name is Vincent G. Dougherty.]
                               * * *
12
13
   MR. RUIZ:
14
                  Is there a motion to reject the
15
                  following Consent Agreements as being
                  too lenient at Case No. 20-56-006536 and
16
                  Case Nos. 21-56-003653 & 21-56-004145?
17
   MR. FERRARA:
18
19
                  So moved.
20
   CHAIR MCGETTIGAN:
21
                  Do I have a second?
22
   MR. SEEBECK:
2.3
                  Second.
2.4
   CHAIR MCGETTIGAN:
25
                  Discussion? All in favor? Against?
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10
   [The motion carried unanimously.]
1
2
3
   MR. RUIZ:
 4
                  Is there a motion to approve the Consent
5
                  Agreement at Case No. 19-56-000525?
6
   MR. TARANTINO:
7
                  So moved.
   MS. RUBIN:
9
                  Second.
10
   CHAIR MCGETTIGAN:
                  Discussion? All in favor? Against?
11
12
   [The motion carried unanimously. The Respondent's
13
   name is Dean Rossi.]
                               * * *
14
15
   MR. RUIZ:
16
                  Is there a motion to deny the following
                  Consent Agreements as being too lenient
17
                  in Case Nos. 19-56-010972 & 20-56-007896
18
19
                  and Case No. 20-56-006906?
20
   MR. PICCIRILLI:
21
                  So moved.
22
   MR. FERRARA:
23
                  Second.
2.4
   CHAIR MCGETTIGAN:
25
                  Discussion? All in favor? Against?
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11
   [The motion carried unanimously.]
1
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3
   MR. RUIZ:
                  Is there a motion to direct commission
 4
5
                  Counsel to draft a Final Adjudication
                  and Order consistent with discussions in
 6
                  Executive Session concerning the
                  reinstatement case of Randolph A. Freed
9
                  Jr., at Case No. 20-56-014459?
10
   CHAIR MCGETTIGAN:
11
                  Do I have a motion?
12
   MR. SEEBECK:
                  So moved.
13
14
   MR. TARANTINO:
15
                  Second.
16
   CHAIR MCGETTIGAN:
17
                  Discussion? All in favor? Against?
18
   [The motion carried unanimously.]
                               * * *
19
20
   MR. RUIZ:
2.1
                  Is there a motion to approve the
22
                  following Final Adjudications and Orders
2.3
                  of Clarence Church, Case No. 19-56-
2.4
                  016119; Robin Fiester, Case No. 20-56-
                  010552; David Fink, Case No. 17-56-
25
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12 04719; Richard Mueller Condominium and 1 2 Property Management Corporation, Case 3 Nos. 19-56-005030 & 20-56-009646; 4 Richard M. Saunders Jr., Case No. 20-56-5 005282; Calvin L. Sheffield Financial 6 Services Inc. t/d/b/a Union Cemetery, Case No. 19-56-003207? MR. FERRARA: So moved. MR. TARANTINO: 10 11 Second. 12 CHAIR MCGETTIGAN: 13 Discussion? All in favor? Against? 14 [The motion carried unanimously.] \* \* \* 15 16 MR. RUIZ: 17 Is there a motion to approve the Final 18 Adjudication and Order at Case No. 19-56-011833 of Dean Marsh t/d/b/a Marsh & 19 2.0 Associates, noting for the record that 2.1 Commissioner Armand Ferrara is recused 22 from all deliberations and voting on 23 this matter. 2.4 MR. SEEBECK: 25 So moved.

13 1 CHAIR MCGETTIGAN: 2 Second? 3 MR. PICCIRILLI: 4 Second. 5 CHAIR MCGETTIGAN: Discussion? Call for a vote. All in 6 7 favor? Against? [The motion carried. Commissioner Ferrara recused himself from deliberations and voting on the motion.] \* \* \* 10 11 MR. RUIZ: 12 Is there a motion to approve the Final 13 Adjudication and Order at Case No. 19-14 56-009470 of Jeanette Wakefield, noting 15 for the record that Commissioner Gaetano Piccirilli has been recused from all 16 voting and deliberations on this matter? 17 MR. FERRARA: 18 19 So moved. 20 COMMISSIONER JOHNSON: 21 Second. 2.2 CHAIR MCGETTIGAN: 2.3 Discussion? All in favor? Against? 24 [The motion carried. Commissioner Piccirilli recused 25 himself from deliberations and voting on the motion.]

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                               * * *
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2
   MR. RUIZ:
3
                  Is there a motion to grant the Motions
                  to Enter Default in the following two
 4
5
                  cases: Michael Collazo & Regal
6
                  Remodeling LLC, Case Nos. 20-56-004925 &
                  21-56-005013 and Anthony Gargano, Case
8
                  No. 19-56-013320?
9
   MS. RUBIN:
10
                  So moved.
11
   MR. TARANTINO:
12
                  Second.
   CHAIR MCGETTIGAN:
13
14
                  Discussion? All in favor? Against?
15
   [The motion carried unanimously.]
16
17
   MR. RUIZ:
18
                  Is there a motion to deny the Motion to
19
                  Enter Default in the case of Anthony
20
                  Diaz, Case No. 16-56-08801 for lack of
21
                  service?
22
   MR. FERRARA:
23
                  So moved.
24
   MR. SEEBECK:
25
                  Second.
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15 1 CHAIR MCGETTIGAN: Discussion? All in favor? Against? 2 3 [The motion carried unanimously.] 4 MR. RUIZ: 5 6 Is there a motion to deny the Appeal of the Act 48 Citation in the case of Vanessa Mercado, Case No. 20-56-002255? 8 9 MR. FERRARA: 10 So moved. 11 MS. RUBIN: 12 Second. CHAIR MCGETTIGAN: 1.3 14 Discussion? All in favor? Against? 15 [The motion carried unanimously.] 16 17 Report of Commission Chairperson - No Report \* \* \* 18 19 Report of Commissioner 20 [K. Kalonji Johnson, Commissioner, Bureau of 21 Professional and Occupational Affairs, informed the 22 Commission that the department is accepting travel 23 requests up to the pre-COVID level of two members but 24 is encouraging the virtual option, which can be 25 expanded for the number of individuals participating.

He asked that all travel requests be submitted well in advance due to all of the shortages and difficulties making travel arrangements.

Commissioner Johnson stated the Commission has a physical presence requirement and all meetings after the September meeting would resume at Penn Center unless changes are made to the practice act. He noted all health and safety protocols would be observed upon individuals returning to Penn Center.]

10 \*\*\*

11 Report of Commission Administrator

12 | [Krista Linsenbach, Commission Administrator, noted

13 | she withdrew the travel request for Commissioner

14 Ferrara to attend the Association of Real Estate

15 License Law Officials (ARELLO) Conference in September

16 because he no longer wished to travel and ARELLO is

17 | not offering a virtual option.]

18 MR. RUIZ:

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There was also an Application Review that was done in Executive Session

concerning the Application for Jamie

Stanard.

At this time, is there a motion to

provisionally deny the Application of

Jamie Stanard?

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1
   MS. RUBIN:
2
                  So moved.
3
   COMMISSIONER JOHNSON:
4
                  Second.
5
   CHAIR MCGETTIGAN:
6
                  All in favor? Against?
7
   [The motion carried unanimously.]
   Report of Committees - Education/Examination
10
   MS. RUBIN:
                  I'd like to make a motion that the
11
12
                  Application for Carol Horne Penn be
13
                  tabled as we request additional
                  information from her in terms of her
14
15
                  experience.
16
   CHAIR MCGETTIGAN:
                  Do I have a second?
17
18
   MR. SEEBECK:
19
                  Second.
20
   CHAIR MCGETTIGAN:
21
                  Discussion? All in favor? Against?
22
   [The motion carried unanimously.]
23
2.4
   MS. RUBIN:
                  I'd like to make a motion to
25
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18 preliminarily deny the Applications for 1 2 Heather Emminger, Jasmine Williams, and 3 Jennifer O'Neil. CHAIR MCGETTIGAN: 4 5 Do I have a second? 6 MR. FERRARA: Second. CHAIR MCGETTIGAN: Discussion? All in favor? Against? 10 [The motion carried unanimously.] 11 12 Report of Committees - Enforcement (Application 13 Reviews) MR. TARANTINO: 14 15 I would like to make a motion that we 16 preliminarily deny the Applications of 17 Erik Gutschmidt and Richard Dodds and 18 give them the right to an informal 19 conference. 20 MR. FERRARA: 21 Second. 22 CHAIR MCGETTIGAN: 2.3 Any discussion? All in favor? Against? 24 [The motion carried unanimously.] \* \* \* 25

- 1 Public Session
- 2 | [Ross Stanard, Howard Hanna, questioned whether his
- 3 daughter's application was denied or not and informed
- 4 the Commission that proof was sent that she took the
- 5 test and passed.
- 6 Mr. Ruiz explained that an application case can
- 7 only be discussed with the applicant and offered to
- 8 discuss it with her after the meeting but welcomed Mr.
- 9 Stanard to be part of the conversation if his daughter
- 10 wishes.
- 11 Jesse Tashlik, Real Estate Broker, Tashlik Real
- 12 Estate, mentioned prior discussion with the Commission
- 13 a few months ago regarding why it is taking a minimum
- 14 of a year to get back to someone on any complaint that
- 15 is filed and noted he has not heard anything other
- 16 than a letter stating it was put in.
- 17 Mr. Tashlik referred to the Real Estate Licensing
- 18 and Registration Act under Section 604 regarding
- 19 prohibited acts.
- 20 Mr. Tashlik mentioned that he was befuddled that
- 21 | in a state with so many licenses there is not one case
- 22 | today against a person with a license for anything
- 23 that is in the act when there are brokers who have 300
- 24 agents under one broker. He stated they are failing
- 25 | the public.

Mr. Tashlik commented that there is absolutely no police power or any power over the agents. He requested comments from the Commission regarding justifying how all five cases in front of them had to do with unlicensed activity.

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2.3

2.4

Commissioner Johnson stated Mr. Tashlik's questions have been asked and answered multiple times and suggested he submit any questions or concerns to the Commission in writing.

Erik Gutschmidt requested information regarding setting up an informal conference with the Commission regarding his application denial.

Ms. Linsenbach noted she would send Mr.

Gutschmidt an email no later than tomorrow morning explaining exactly what happened today and how to go about following up.

Michael McGee, CAE, Chief Executive Officer,
Pennsylvania Association of Realtors, informed the
Commission that PAR did not submit any comments on the
exposure draft by the deadline because they did not
receive a copy of the regulations until Thursday
afternoon. He questioned whether there would be an
extension of the comment deadline or the plan for the
exposure draft moving forward.

Mr. Ruiz had emails back and forth with Mr.

Crawford last week and is investigating what happened with the email mass distribution list. He noted the deadline would be extended and any comments could be addressed by the next meeting.

1.3

2.0

2.2

Mr. Ruiz suggested Mr. McGee provide any comments two weeks before the next meeting starts because that is when the agenda is due but would extend it further depending upon responses.

Mr. McGee requested an update regarding the status of the broker price opinion (BPO) regulations.

Mr. Ruiz reported that two of the three documents were finished and was hopeful to soon have those out.

He noted receiving no response from the exposure draft, where BPOs are going from a temporary status to regular.

Mr. McGee commented that they expire at the end of next month and questioned whether they would be done in time and continue moving forward. Mr. Ruiz noted not being sure if they would be completed in time. He stated it did not prohibit someone from still doing the BPOs, and the regulation just set up the educational framework. He commented that the regulation was not necessary to actually practice, and the temporary regulation was necessary to instill the education piece.

22

1 Mr. McGee questioned whether someone can keep 2 going if they already have the classes.

Mr. Ruiz stated they are already approved and education requirements are not being changed concerning those.

Dana Shawgo, Broker, Shawgo Real Estate,
requested an update on a complaint he filed on June
27, 2019.

Mr. Michalowski explained to Mr. Shawgo that it is an ongoing investigation and discussing it in front of the Commission would cause them to be recused from being able to hear anything about it in the future.

13 He offered to contact Mr. Shawgo to discuss the

14 matter.]

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16 Adjournment

17 CHAIR MCGETTIGAN:

18 I would entertain a motion to adjourn.

19 MS. RUBIN:

20 So moved.

21 MR. SEEBECK:

22 Second.

23 CHAIR MCGETTIGAN:

24 Thanks for coming.

25 [The motion carried unanimously.]

23 \* \* \* 1 2 [There being no further business, the State Real 3 Estate Commission Meeting adjourned at 11:54 a.m.] \* \* \* 4 5 6 CERTIFICATE 7 I hereby certify that the foregoing summary minutes of the State Real Estate Commission meeting, 10 was reduced to writing by me or under my supervision, 11 and that the minutes accurately summarize the 12 substance of the State Real Estate Commission meeting. 13 14 15 16 KelYy Gallick, 17 Minute Clerk 18 Sargent's Court Reporting 19 Service, Inc. 20 21 22 23 24

2526

	STATE REAL ESTATE COMMISSION REFERENCE INDEX	
	August 17, 2021	
TIME	AGENDA	
9:00 10:50	Executive Session Return to Open Session	
11:00	Official Call to Order	
11:01	Roll Call	
11:01	Introduction of Attendees	
11:07	Pledge of Allegiance	
11:08	Approval of Minutes	
11:09	Report of Prosecutorial Division	
11:22 11:25	Executive Session Return to Open Session	
11:25	Report of Commission Counsel	
11:34	Report of Commission Chair	
11:37	Report of Commission Administrator	
11:39	Report of Committees	
11:41	Public Session	
11:54	Adjournment	