

# STATE BOARD OF AUCTIONEER EXAMINERS

## MAILING ADDRESS

STATE BOARD OF  
AUCTIONEER EXAMINERS  
P. O. BOX 2649  
HARRISBURG, PA 17105

## COURIER ADDRESS

STATE BOARD OF  
AUCTIONEER EXAMINERS  
2601 NORTH THIRD STREET  
HARRISBURG, PA 17110

**PHONE:** 717-783-3397

**EMAIL:** [st-auctioneer@pa.gov](mailto:st-auctioneer@pa.gov)

**WEB:** [www.dos.pa.gov/auct](http://www.dos.pa.gov/auct)

## APPLICATION TO CHANGE SPONSOR

### **Application Fee: \$15.00 NON-REFUNDABLE AND NON-TRANSFERABLE.**

A check or money order payable to the "Commonwealth of Pennsylvania."

(A \$20.00 processing fee will be charged for any unpaid returned check regardless of the reason.)

Page 1-Apprentice auctioneer information must be completed in its entirety.

- Applicant Name
- License Number
- Mailing Address
- Social Security Number
- Date of Birth
- Daytime Telephone Number
- Email Address
- Bond Number
- Name, License Number and Disassociation Date of Old Sponsor
- Name, License Number and Association Date of New Sponsor

Page 2- Questions 1-9 MUST be completed.

Page 3- Verification Statement MUST be signed and dated.

Page 4- Application to Change Sponsor must be completed in its entirety.

- Sponsor Name
- License Number
- Mailing Address
- Daytime Telephone Number
- Bond Number
- Email Address
- Sponsor Agreement and Verification Statement

**Please Note:** All items listed above must be completed in order to process the application. If the application is not completed in its entirety or the required attachments are not provided, processing time will be delayed.

If your license is not issued within six months from the date your application is received, certain portions of the application will need to be updated/resubmitted before a license can be issued.

If your license is not issued within one year from the date your application is received, you will be required to submit a new application and application fee.

An apprentice auctioneer license is invalid when there is no sponsoring auctioneer and credit for apprentice auctioneering does not accrue during that time period.

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**BLACK INK ONLY-PLEASE PRINT****APPRENTICE AUCTIONEER INFORMATION - COMPLETE THIS SECTION IN ITS ENTIRETY:**

NAME: \_\_\_\_\_  
Last First Middle

APPRENTICE AUCTIONEER LICENSE NUMBER: AA-\_\_\_\_\_

MAILING ADDRESS: \_\_\_\_\_  
Street Address P.O. Box (if applicable)

\_\_\_\_\_  
City State Zip Code

SOCIAL SECURITY NUMBER: \_\_\_\_\_ DATE OF BIRTH: \_\_\_\_\_  
mm/dd/yyyy

DAYTIME TELEPHONE NUMBER: \_\_\_\_\_

EMAIL ADDRESS: \_\_\_\_\_

Would you like us to communicate with you regarding this application via e-mail? Yes ☐ No ☐

BOND NUMBER: \_\_\_\_\_

PROVIDING AN INCORRECT BOND NUMBER WILL DELAY THE PROCESSING OF YOUR APPLICATION.

NAME OF OLD SPONSOR: \_\_\_\_\_

AUCTIONEER LICENSE NUMBER: AU-\_\_\_\_\_ DISASSOCIATION DATE: \_\_\_\_\_  
mm/dd/yyyy

NAME OF NEW SPONSOR: \_\_\_\_\_

AUCTIONEER LICENSE NUMBER: AU-\_\_\_\_\_ ASSOCIATION DATE: \_\_\_\_\_  
mm/dd/yyyy

APPLICANT IS REQUIRED TO COMPLETE THE FOLLOWING QUESTIONS:

*If you answered "yes" to any criminal or disciplinary question, provide a full written explanation AND a certified copy of any and all relevant licensing board, court and/or legal documents, including the charging document and final disposition of any licensing board action taken in another jurisdiction, the criminal complaint and affidavit of probable cause, criminal information, indictment or other charging documents, documentation of the final disposition and sentence imposed, as well as documentation of your successful completion of *any and all* of the sentencing requirements that may have been imposed.*

THE FOLLOWING QUESTIONS MUST BE ANSWERED:	YES	NO
1. Do you hold, or have you ever held, a license, certificate, permit, registration or other authorization to practice a profession or occupation in any state or jurisdiction?		
2. If you answered yes to the above question, please provide the profession and state or jurisdiction.		
3. Have you had disciplinary action taken against a professional or occupational license, certificate, permit, registration or other authorization to practice a profession or occupation issued to you in any state or jurisdiction or have you agreed to voluntary surrender in lieu of discipline?		
4. Do you currently have any disciplinary charges pending against your professional or occupational license, certificate, permit or registration in any state or jurisdiction?		
5. Have you withdrawn an application for a professional or occupational license, certificate, permit or registration, had an application denied or refused, or for disciplinary reasons agreed not to apply or reapply for a professional or occupational license, certificate, permit or registration in any state or jurisdiction?		
6. Have you been convicted (found guilty, pled guilty or pled nolo contendere), received probation without verdict or accelerated rehabilitative disposition (ARD), as to any criminal charges, felony or misdemeanor, including any drug law violations? Note: you are not required to disclose any ARD or other criminal matter that has been expunged by order of the court.		
7. Do you currently have any criminal charges pending and unresolved in any state or jurisdiction?		
8. Within five years prior to the issuance of the license in force, have you been convicted in a court of competent jurisdiction in this or any other state or in federal court of forgery, embezzlement, obtaining money under false pretenses, extortion, conspiracy to defraud or other like offenses, or at any time, have you been convicted in a court of competent jurisdiction in this or any other state or in federal court of a misdemeanor related to the profession of auctioneering or of any felony?		
9. Will you engage in online trading assistant consignment sales transactions?		

## VERIFICATION STATEMENT:

I verify that this application is in the original format as supplied by the Department of State and has not been altered or otherwise modified in any way. I am aware of the criminal penalties for tampering with public records or information under 18 Pa.C.S. § 4911.

I verify that the statements in this application are true and correct to the best of my knowledge, information and belief. I understand that false statements are made subject to the penalties of 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities) and may result in the suspension, revocation or denial of my license, certificate, permit or registration.

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Signature

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Date

In order to comply with federal law, the State Board of is obligated to inform each applicant or licensee from whom it requests a social security number that disclosing such number is **mandatory** in order for this Board to comply with the requirements of the federal Social Security Act pertaining to Child Support Enforcement, as implemented in the Commonwealth of Pennsylvania at 23 Pa. C.S. §4304.1(a). In order to enforce domestic support orders, at the request of the Commonwealth's Department of Human Services (DHS), the licensing boards must provide to DHS information prescribed by DHS about the licensee, including the social security number.

## APPLICATION TO CHANGE SPONSOR

APPRENTICE AUCTIONEER NAME: \_\_\_\_\_

SPONSOR NAME: _____	
SPONSOR LICENSE NUMBER: _____	
SPONSOR ADDRESS:	
CITY, STATE, ZIP CODE:	
DAYTIME TELEPHONE NUMBER:	
BOND NUMBER: _____	
<b>PROVIDING AN INCORRECT BOND NUMBER WILL DELAY THE PROCESSING OF THE APPLICATION.</b>	
SPONSOR EMAIL ADDRESS:	
<p>Would you like us to communicate with you regarding this application via e-mail? Yes <input type="checkbox"/> No <input type="checkbox"/></p>	

### SPONSOR AGREEMENT:

I agree to notify the Board within 10 days of a change in status of the apprentice that would affect the ability of the apprentice to complete the apprenticeship as required by §1.22 (e) of the State Board of Auctioneer Examiners rules and regulations.

I have read, understand and agree to be bound by the requirements of §1.31 of the State Board of Auctioneer Examiners rules and regulations, entitled "*Sponsorship and employment of apprentice auctioneers.*"

Pursuant to §1.21 of the State Board of Auctioneer Examiners rules and regulations, I will sponsor and employ for compensation the apprentice listed above and I will not sponsor and employ more than one other apprentice auctioneer.

### VERIFICATION STATEMENT:

I verify that this application is in the original format as supplied by the Department of State and has not been altered or otherwise modified in any way. I am aware of the criminal penalties for tampering with public records or information under 18 Pa.C.S. § 4911.

I verify that the statements in this application are true and correct to the best of my knowledge, information and belief. I understand that false statements are made subject to the penalties of 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities) and may result in the suspension, revocation or denial of my license, certificate, permit or registration.

\_\_\_\_\_  
Signature of Sponsor

\_\_\_\_\_  
Date