

DEC 1 3 2022

Department of State Prothonotary

COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE BEFORE THE SECRETARY OF THE COMMONWEALTH

Commonwealth of Pennsylvania, :
Bureau of Corporations and :
Charitable Organizations :

: File No.

22-98-001731

:

:

Harley's Haven Dog Rescue

٧.

Respondent

CONSENT AGREEMENT AND ORDER

The Commonwealth of Pennsylvania, Bureau of Corporations and Charitable Organizations ("Bureau"), by and through its undersigned prosecuting attorney, Michael J. Gennett and Harley's Haven Dog Rescue., ("Respondent"), stipulate as follows in settlement of the above-captioned matter:

JURISDICTION

- 1. This matter is before the Secretary of the Commonwealth ("Secretary") pursuant to the Solicitation of Funds for Charitable Purposes Act, Act of December 19, 1990, P.L. 1200, No. 202, as amended ("Act"), 10 P.S. §§ 162.1 et. seq.
- At all relevant and material times, Respondent acted as a charitable organization as defined by the Act.

STIPULATED FACTS

- Respondent's last known address is 1419 N. Ridge Road, Perkasie, PA 18944.
- 4. Respondent violated Pennsylvania's Solicitation of Funds for Charitable Purposes

 Act at Section 162.5(b)(12) Whether any of the organization's officers, directors, trustees, or

employees are related to any officers, agents, or employees of any supplier or vendor providing goods or services to the organization; in that, Respondent failed to report a list of any officers, directors, trustees, or employees of the charitable organization that are related to any officers, agents, or employees of any supplier or vendor providing goods or services to the charitable organization.

5. Respondent is currently registered as a charitable organization with the Department of State, Bureau of Corporations and Charitable Organizations (Bureau) and has been issued Certificate of Registration No.: 105257.

AGREED VIOLATIONS

- 6. Respondent admits and agrees that by engaging in the aforementioned conduct,
 Respondent committed violations of the Act as follows:
- a. Violating Section 15(a)(1) of the Act, 10 P.S. § 162.15(a)(1), by and through 10 P.S. § 162.5(b)(12), by soliciting contributions without disclosing that two (2) of the organization's officers, directors, trustees, or employees are related to any officers, agents, or employees of any supplier or vendor providing goods or services to the organization.

PROPOSED ORDER

- 7. The participants, intending to be legally bound, consent to issuance of the following Order in settlement of this matter:
- a. Respondent violated Section 15(a)(1) of the Act, 10 P.S. § 162.15(a)(1), by and through 10 P.S. § 162.5(b)(12), by soliciting contributions without disclosing that two (2) of the organization's officers, directors, trustees, or employees are related to any officers, agents, or employees of any supplier or vendor providing goods or services to the organization.

ADMINISTRATIVE PENALTIES

8. An ADMINISTRATIVE FINE of Five Thousand Dollars (\$5,000.00) is levied upon Respondent. Respondent shall tender the full sum of Five Thousand Dollars (\$5,000.00), with this executed Consent Agreement. Payment shall be by certified check, cashier's check, attorney's check, or U.S. Postal money order. The instrument of payment shall be made payable to the "Commonwealth of Pennsylvania," and shall be valid for a period of at least one hundred eighty (180) days. Respondent agrees that payment will be made by one of the methods indicated in herein and that payment by uncertified personal check, corporate check or cash will not be accepted.

CASE SETTLED AND DISCONTINUED

9. This case shall be deemed settled and discontinued upon the Secretary issuing an Order adopting this Consent Agreement and the Respondent's successful completion of the requirements of this Order. However, nothing in this Consent Agreement and Order shall preclude the Prosecuting Attorney for the Bureau from filing charges or the Secretary from imposing disciplinary or corrective measures for violations or facts not contained in this Consent Agreement and Order.

ACKNOWLEDGEMENT OF NOTICE AND WAIVER OF HEARING

Respondent waives the filing of an Order to Show Cause in this matter. Respondent knowingly and voluntarily waives the right to an administrative hearing in this matter, and to the following rights related to the hearing: to be represented by counsel at the hearing; to present witnesses and testimony in defense or in mitigation of any sanction that may be imposed for a violation; to cross-examine witnesses and to challenge evidence presented by the Bureau; to present legal arguments by means of a brief; and to take an appeal from any final adverse decision.

AGREEMENT NOT BINDING ON OTHER PARTIES

11. This Consent Agreement is between the Bureau and Respondent only. It does not bind any other administrative or governmental entity of the Commonwealth of Pennsylvania, including any other bureau within the Department of State. Except as otherwise noted, this Agreement is to have no legal effect if (a) the Office of General Counsel expresses an objection to the Agreement's form or legality and/or (b) unless and until the Secretary issues the stipulated Order.

EFFECT OF SECRETARY'S REJECTION

12. Should the Secretary not approve this Consent Agreement, presentation to and consideration of this Consent Agreement and other documents and matters by the Secretary shall not prejudice the Secretary from further participation in the adjudication of this matter. This paragraph is binding on the participants even if the Secretary does not approve this Consent Agreement.

ENTIRE AGREEMENT

13. This Agreement contains the whole agreement between the parties; provided, however, that the captions printed in the various provisions of this agreement are for ease of reading only and are not to be interpreted as forming any part of this agreement. There are no other terms, obligations, covenants, representations, statements or conditions, or otherwise, of any kind whatsoever, concerning this Agreement.

AGREEMENT DOES NOT PREVENT REFERRAL TO OTHER AGENCIES

14. The parties acknowledge that other federal, state, and/or local agencies may have jurisdiction over the activities of, or representations made by, Respondent and its officers, directors, agents, employees or independent contractors. Nothing in this Consent Agreement or

the Order based upon this Consent Agreement shall preclude representatives of the Bureau from referring any information or data produced as a result of this matter to any federal, state, or local agency or governmental unit having jurisdiction over the activities of Respondent or any officer, director, agent, employee or independent contractor of the Respondent.

VERIFICATION OF FACTS AND STATEMENTS

Respondent verifies that the facts and statements set forth in this Agreement are 15. true and correct to the best of Respondent's knowledge, information and belief. Respondent understands that statements in this Agreement are made subject to the criminal penalties of 18 Pa.C.S. § 4904 relating to unsworn falsification to authorities.

Michael J. Gennett **Prosecuting Attorney**

Department of State

Commonwealth of Pennsylvania

Harley's Haven Dog Rescue

Respondent

DATE:

8 DEC 2022

DATE:



IN THE MATTER OF

Harley's Haven Dog Rescue File No.: 22-98-001731

ORDER

AND NOW, this 13th day of December 2022, the foregoing Consent Agreement is hereby approved, and the terms set forth herein are hereby adopted and incorporated herein as the Order of the Acting Secretary of the Commonwealth, now issued in resolution of this matter.

THIS ORDER shall take effect immediately.

BY ORDER:

Leigh M. Chapman

Acting Secretary of the Commonwealth

For the Commonwealth:

Michael J. Gennett

Prosecuting Attorney
Capitol Complex

306 North Office Building

401 North Street

Harrisburg, Pennsylvania 17120

Respondent:

Harley's Haven Dog Rescue

1419 N. Ridge Road Perkasie, PA 18944